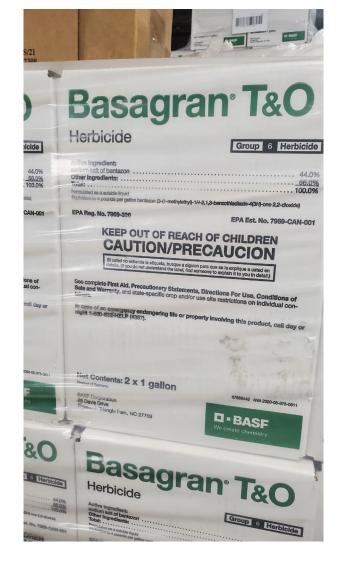
# FIFRA Imports

January 10, 2023



Christine Tokarz
EPA, Region 8, FIFRA Inspector
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518.416.8965





### **FIFIRA Basics**



The <u>Federal Insecticide</u>, <u>Fungicide</u>, <u>and Rodenticide Act</u> (FIFRA) governs the registration, distribution, sale, and use of pesticides in the United States.

A pesticide is any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest, or intended for use as a plant regulator, defoliant, or desiccant, or desiccant, or any nitrogen stabilizer.

This includes antimicrobials, disinfectants.





Established: 1947 by the US Department of Agriculture

## Pesticides vs Devices – FIFRA Authority



EPA under FIFRA has regulatory authority over both pesticides and devices.

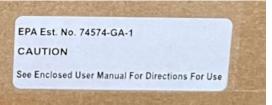


FOR INSTITUTIO AN AUGUSTA FOR INSTITUTIO AN AUGUSTA FOR INSTITUTIO AN AUGUSTA FOR INSTITUTIO AN AUGUSTA FOR INSTITUTIO AND AUGUSTA FOR INSTITUTION AUG

FIFRA defines a pesticide as "any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest..."







FIFRA defines a device as "any instrument or contrivance that is intended for trapping, destroying, repelling, or mitigating any pest or any other form of plant or animal life"

## Pesticides vs Devices – FIFRA Authority



#### Any substance or device that is intended to trap, destroy, repel or mitigate a pest.



EPA Reg. No. 70627-24
EPA Est. No. 0312-WI-3 [NW];
13938-CAN-1 [LD]
Made in Canada

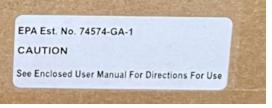
Manufactured for: ©2019 Diversey, Inc.,
PO Box 19747, Charlotte, NC 28219-0747

FIFRA defines a pesticide as "any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest..."

**Pesticide Substance** 







FIFRA defines a device as "any instrument or contrivance that is intended for trapping, destroying, repelling, or mitigating any pest or any other form of plant or animal life"

## Pesticides vs Devices – FIFRA Authority



First step is to ask, "What is the intended use of this product"?"





If the intended use is to "kill, repel, trap...a pest – then YES they are EPA/FIFRA regulated.

## Body Products vs Pesticides vs Devices



#### **Hand Sanitizer**



Only for hands and body parts

No EPA Reg. No. No EPA Est. No.

**FDA** registration required

#### **Surface Sanitizers & Disinfectants**



Only for use on surfaces

EPA Reg. No. EPA Est. No.

**EPA** registration required

#### **Pesticide Devices**



Should never be used as a first line disinfectant

No EPA Reg. No. EPA Est. No.

EPA does not review efficacy purchase at your own risk





#### **Surface Sanitizers & Disinfectants**

This label language is federal law.



Only for use on surfaces

EPA Reg. No. EPA Est. No.

**EPA** registration required





EPA can determine if the labels are in compliance using the EPA Registration Number and the EPA Establishment Number.



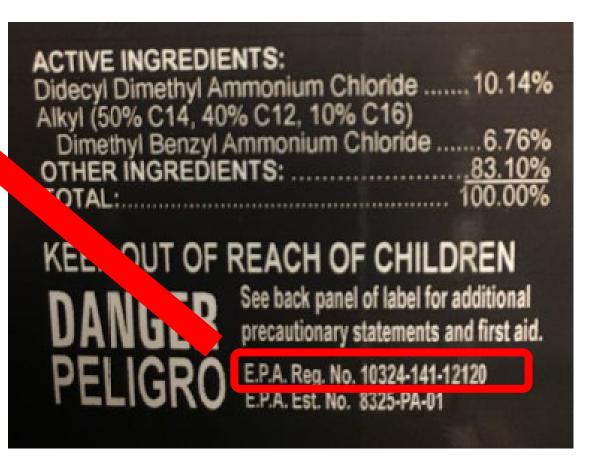
ACTIVE INGREDIENTS: Didecyl Dimethyl Ammonium Chloride 10.14% Alkyl (50% C14, 40% C12, 10% C16) Dimethyl Benzyl Ammonium Chloride 6.76% OTHER INGREDIENTS:	
DANGER See back panel of label for additional precautionary statements and first aid.  E.P.A. Reg. No. 10324-141-12120 E.P.A. Est. No. 8325-PA-01	



EPA uses PPLS to ensure the language on the product being imported MATCHES the language on the federal registration.

The is a public database.



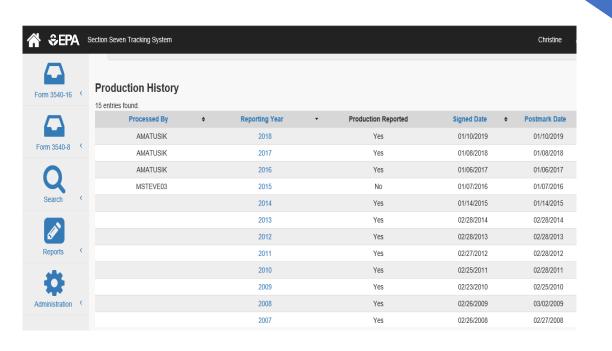


Pesticide Product Label Search



Check that the EPA Establishment has submitted all required reports.

This is an EPA internal database.





### **Pesticide Devices**







#### LABEL REQUIREMENTS:

- ☐ EPA Establishment Number
- ☐ Directions for Use
- ☐ Caution or Warning Statement
- No False Claims

#### **Pesticide Devices**



Should never be used as a first line disinfectant

No EPA Reg. No. EPA Est. No.

EPA does not review efficacy purchase at your own risk

### **Pesticide Devices**

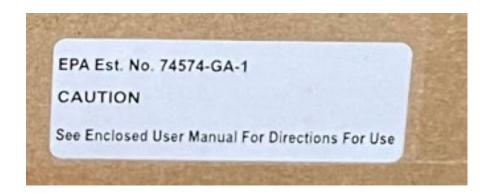


## **LABEL REQUIREMENTS:**

- EPA Establishment Number
- Directions for Use
- Caution or Warning Statement
- ☐ No False Claims







## 1976 Federal Register Notice: Enforcement Issues



#### Are these claims "false or misleading"?



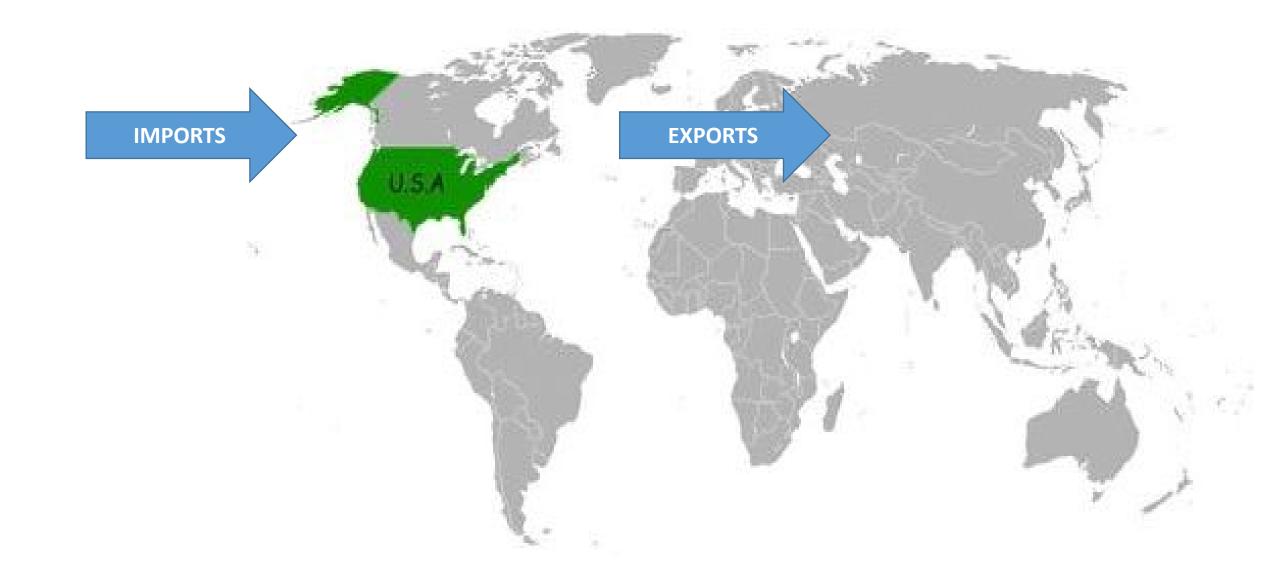




Unproveable by science.

# **Imports Overview**





## Old School - Notice of Arrival Form

EPA Form 3540-01 (Rev 3/22) Previous versions are obsolete.

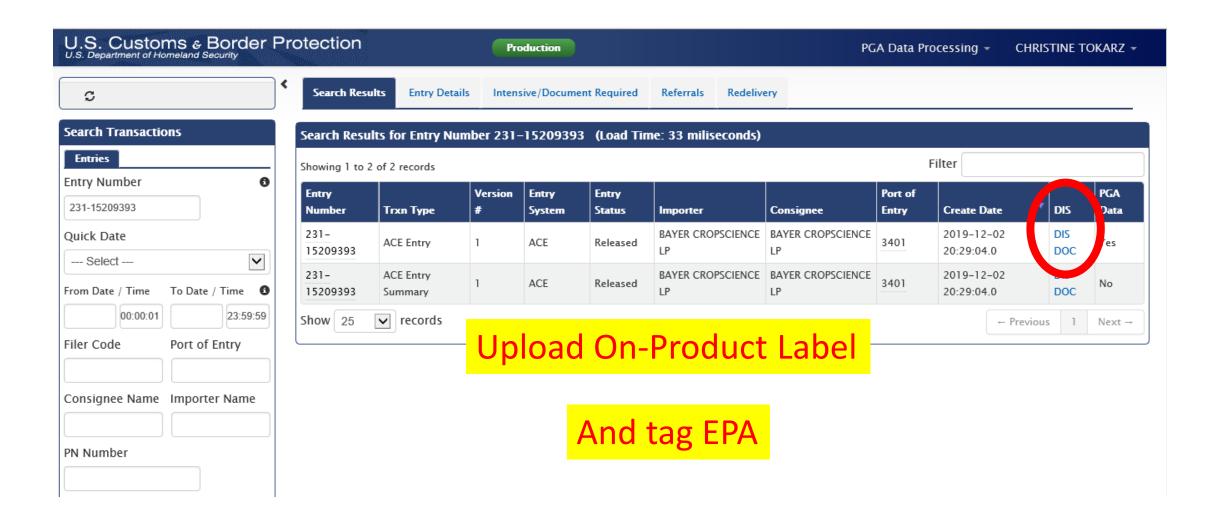
Print Form



Page 2 of 2

un st. Heiter	d States OMB Control No. 2070-0020	Part I (con	ntinued): To Be Completed by Importer of Record or Lic	censed Customs Broker
ENVIRONMENTAL F	PROTECTION AGENCY Expires on 1/31/2025	EPA Registration Number:	Product Nat	me:
3	n, DC 20460	Total Net Weight:	Entry Numb	ber:
AL PROTECT	Pesticides and Devices	18e. A pesticide transferred s Enter the foreign purcha	olely for export. (40 CFR §152.30(d)/ PRN 99-1) ser details in Box 19.	
	al Office Listed in the Instructions for this Form. before completing form.	Domestic Producer Estab	blishment Number (40 CFR §152.30(b)):	
	of Record or Licensed Customs Broker	18f. A pesticide distributed u Emergency Exemption N	Inder an emergency exemption. Jumber (40 CFR §152.30(e)):	
. Name, Complete Mailing Address, and Email Address of the	2. Name, Complete Mailing Address, and Email Address of the	18g. A pesticide transferred fo	or the purposes of disposal. (40 CFR §152.30(f))	
Licensed Customs Broker	Importer of Record	United States in Block 19		vhy the product is being imported into the
		<ol> <li>Remarks. Provide additional in It is recommended that a copy</li> </ol>	formation here. of the label affixed to the product be submitted with th	he NOA.
_	_			
Return Form to This Address	Return Form to This Address			
<ul> <li>Name, Complete Mailing Address, and Email Address of the Shipper</li> </ul>	4. EPA Registration Number			
	5. EPA Producer Establishment Number		Certification	
			ade on this form and all attachments thereto are true, a eading statement may be punishable by fine or impriso	
	6. Brand Name of Pesticide Product or Pesticide Device	20. Printed Name of Importer of R	ecord	Telephone No.:
. Active Ingredients and Percentage of Each	Include On	-Product Label	r ·	Date:
	IIICIUUE OI	I-FIUUULL Laber	rt II: To Be Completed by U.S. Environmental Prote	ction Agency
			d Border Protection:	,
. Unit Size (e.q., 500 lbs.) 9. Quantity (e.q., 10	0 bags) 10. Total Net Weight (e.q., 5,000 lbs.)	Release Shipment	Detair	n for inspection
Simple (e.g., 500 lbs.)	201 10121 1121 1121 1121 1121	Request CBP to collect samples	s (19 CFR §12.116) Relea:	se shipment to consignee under bond.
1. Country of Origin	12. Port of Entry (Enter port code, or the city and state)	Other. (Specify)	Shipm	nent must be held intact pending inspection.
1. Country of Origin	12. Port of Entry (enter port code, of the city and state)			
		EPA Remarks:		
<ol> <li>Name, Complete Mailing Address and Email Address of the Carrier</li> </ol>	14. Entry Number			
	15. Anticipated Entry Date	Signature and Title		
		of EPA Official:		Date:
6. I assert that information constituting Confidential Business	47 Leasting of Conductor Supplies of the Importation		Part III: To Be Completed by U.S. Customs and Borde	
Information (CBI) is shown in the above blocks numbered:	17. Location of Goods for Examination after Importation		Part I was compared with the entry papers for this ship tructed by EPA in Part II. Any deviations should be broug	
		The shipment was nancied as inst	shipment and should be noted in "Remarks	
		CBP Remarks:		
Note: Blocks 4, 5, 6 and 7 are not entitled to CBI treatmentsee instructions.				
8. [Optional] For pesticides without an EPA Registration Number,	***			
(The following information may expedite the processing of the N  18a. A pesticide transferred between registered establishment	,			
Domestic Producer Establishment Number (40 CFR §152.3		Signature of District		Date:
18b. A pesticide transferred between registered establishment		Director of Customs:	on of information is approved by OMB under the Paperwork Reduction	
Domestic Producer Establishment Number (40 CFR §152.3 EPA Registration Number of Product being Formulated, if		Responses to this collection of information are	e mandatory (19 CFR 12). An agency may not conduct or sponsor, and	d a person is not required to respond to, a collection of
18c. A pesticide distributed under an Experimental Use Permit.			OMB control number. The public reporting and recordkeeping burde 's need for this information, the accuracy of the provided burden esti	
Experimental Use Permit Number (40 CFR §152.30(c)(1)):			rector, U.S. Environmental Protection Agency (2821T), 1200 Pennsylve	
18d. A Pesticide distributed for research and development pur	poses without an Experimental Use Permit. (40 CFR §152.30(c)(2)	EPA Form 3540-01 (Rev 3/22) Previo		t Form Reset Form Page 2 of 2









PGO4 - Constituent Element Information FENOXAPROP-P-ETIEL +-ETIEL 2-[4-6-CHLORO-2-BENZOXA Active ingredient ID Type 100.0000 PG07 - Brand/Model Information ACCLAIM HERBICIDE Manufecture Month and Year

Item ID Number

PG19 - Entity Name and Address 000264CAN001 PG19 / PG20 - Entity Name and Address

PG14 - License Permit Certificate & Other Information

Item Identity Number Qualifier

LPCO Type

Role Code 2 T.W. ALEXANDER DRIVE Addr 1

RESEARCH TRIANGLE

PG19 / PG20 - Entity Name and Address

HTS Code : 3808931500 Of (Cargo Desc): HERBICIDES, AROMATIC OR MOD ARO PG01 - Agency Key Indicators PGA Line Number **Govt Agency Code** Program Code **Processing Code** Electronic Image Submitted Confidentiality Indicator Disclaimed Indicator PG02 - Product Information PG22 - Declaration / Certification Information Signed Confirmation Letter NA Doc ID Conformance Declaration NA **Entity Role Code** Declaration Code Declaration Certification Date of Signature PG21 - Individual POC Information Individual Name FAITH ROBERTS Phone Number 919-549-2118 Email Or Fax Number FAITH.ROBERTS@BAYER.COM PG01 - Agency Key Indicators PGA Line Number **Govt Agency Code** Program Code Electronic Image Submit Processing Code Confidentiality Indicator Disclaimed Indicator PG02 - Product Information **Prod Code Number:** Prod Code Qualifier:

295 HENDERSON DRIVE Addr 1 REGINA CA PG21 - Individual POC Information Individual Qualifier DEQ Phone Number 919-549-2118 PG19 / PG20 - Entity Name and Address Role Code Addr 2 250 SONWIL DRIVE, SUITE 254 Country

PG21 - Individual POC Information Individual Qualifier No

PG55 - Additional Entry Role Information

Email Or Fax Number FAITH.ROBERTS@BAYER.COM

BAYER CROPSCIENCE LP

BAYER CROPSCIENCE INC.

54N 6C2

Email Or Fax Number FAITH.ROBERTS@BAYER.COM

EXPEDITORS INTERNATIONAL

Zip Code

JEFF LAMONICA Email Or Fax Number JEFF.LAMONICA@EXPEDITORS.COM



#### First, Pesticide Status

PS1	Registered Pesticide
PS2	Pesticide Device
PS3	Pesticide Other (Research and Development, Minimum Risk)
TS1	Toxic Chemical (not a pesticide)

#### Second, Disclaim Code

- A Not Subject to FIFRA Regulations
- B **PGA Data**
- C Scanned/Signed by EPA NOA and Label uploaded to DIS
- D Scanned/Signed by EPA NOA with shipment



U.S. Customs and Border Protection For official Use Only

Entry and PGA data: 300-95843224

**Entry Number** 300-95843224

Entry Type 01 - Consumption: Free and Dutiable

Port of Entry 3401 - PEMBINA, ND

**File Date** 04-22-2022 11:40:07

**Arrival Date** 04-22-2022 00:00:00

**Bond Type** 8 - Continuous

Firms Code

Importer 16-

BASE CORPORATION

100 PARK AVE

FLORHAM PARK NJ 07932-1049 US

Entry System ACE

Entry Status Pending

Release Date NA

MOT 30 - Truck (Non-Containerized)

Bill Number MANI33436610

House Bill NA
In-Bond Number NA

Consignee 16

BASF CORPORATION

100 PARK AVE

FLORHAM PARK NJ 07932-1049 US



Line Item Information						
Line #	HTS Code	Country	Manufacturer	Consignee	Line Status	PGA Data
L1:S1	3808935040	DE		BASF CORPORATION 100 PARK AVE FLORHAM PARK, NJ 07932-1049 US	Closed	EPA,FDA
L2:S1	3808932000	DE		BASF CORPORATION 100 PARK AVE FLORHAM PARK, NJ 07932-1049 US	Closed	EPA,FDA



Code: 3808935040

OI (Cargo Desc): BROADLOOM HERBICIDE

PG01 - Agency Key Indicators

PGA Line Number 1
Govt Agency Code EPA
Processing Code NA
Confidentiality Indicator NA

PG02 - Product Information

Program Code PS1
Electronic Image Submitted Y
Disclaimed Indicator NA

EPA registered pesticide that has a Section 3 label on product

PG04 - Constituent Element Information

Active Ingredient ID Type

**Quantity** NA

Percentage 44.0000

**Constituent Element** 

UOM

SODIUM SALT OF BENTAZON NA Correct Entry

EPA
establishment
number on
product

Brand/Model Information

ame / Brand Name BROADLOOM

entity Number Qualifier

icense Permit Certificate & Other Information.

oe EP8

PG19 - Entity Name and Address

Role Code EPN

Number 007969CAN001

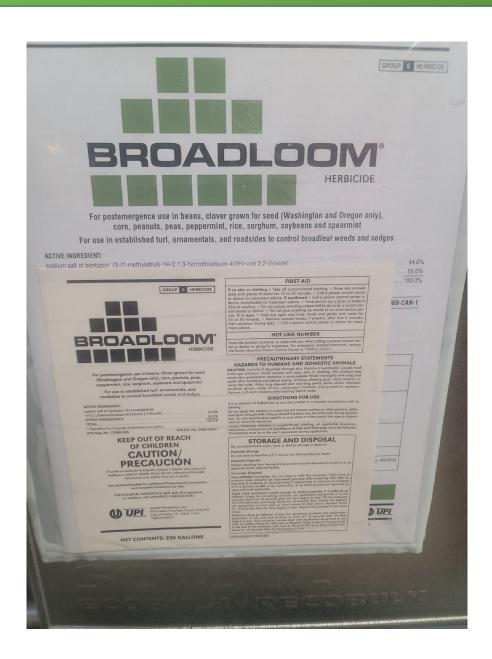
Manufacture Month and Year Item ID Number

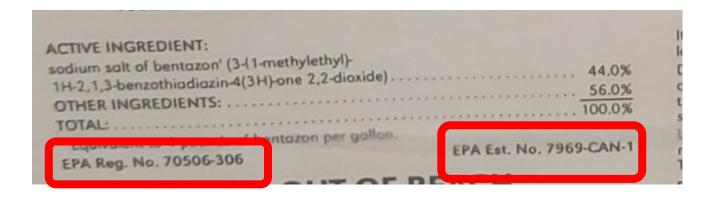
LPCO Number 70506-306

Identification Code NA
Name NA

number on product







- 1. Does the EPA Registration Number and the EPA Establishment Number in ACE match the label?
- 2. Does the label language match the federal registered label?

https://ordspub.epa.gov/ords/pesticides/f?p=PPLS:8:10001535001793::NO::P8\_PUID,P8\_RINUM:513246,70506-306\_NO::P8\_PUID,P8\_PU

3. Is the EPA Establishment in compliance (submitted all reports)? 7969-CAN-1



U.S. Customs and Border Protection For official Use Only

Entry and PGA data: CHQ-07516364

Entry Number CHQ-07516364

**Entry Type** 01 - Consumption: Free and Dutiable

Port of Entry 3303 - SALT LAKE CITY, UT

 File Date
 03-29-2021 13:54:05

 Arrival Date
 02-19-2021 00:00:00

Bond Type 8 - Continuous

Firms Code -

Importer 45

PHONESOAP

1837 S EAST BAY BLVD PROVO UT 84606-5530 US Entry System ACE

Entry Status Released
Release Date 03-29-2021

MOT 11 - Vessel (Containerized)

Bill Number CMDUNBDN291551

House Bill NA In-Bond Number NA

Consignee 45-

**PHONESOAP** 

1837 S EAST BAY BLVD PROVO UT 84606-5530 US Correct Entry



Line: L1:S2

OI (Cargo Desc): UV LAMP 600-G

HTS Code: 8539490040

PG01 - Agency Key Indicators

**PGA Line Number Govt Agency Code** EPA **Processing Code** NA

**Confidentiality Indicator** 

**Program Code** 

PS2

**Electronic Image Submitted** 

**Disclaimed Indicator** 

NA

Since this is a device, there is no

EPA registration number and

there is no LPCO

PG02 - Product Information

PG07 - Brand/Model Information

Trade Name / Brand Name

Model

**Item Identity Number Qualifier** 

PHONESOAP

NA

Manufacture Month and Year

Item ID Number

**EPA** 

establishment number on product

idual POC Information

ualifier DEQ

ber 8613611690500

tional Information

nfo Qualifier Code AD1

AD NORTH

NA

PG19 - Entity Name and Address

Role Code **EPN** 

093719CHN003 Number

Additional Info

Individual Name

**Email Or Fax Number** 

Identification Code

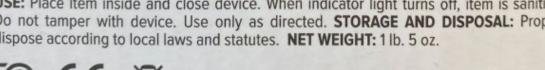
Name NA Correct Entry



#### Phone Soap 1837 S East Bay Blvd Suite 201, Provo, UT 84606 USA

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PRECAUTIONARY STATEMENTS: UV-C LIGHT IS DAMAGING TO HUMANS AND ANIMALS. USE: Place item inside and close device. When indicator light turns off, item is sanitized. Do not tamper with device. Use only as directed. STORAGE AND DISPOSAL: Properly dispose according to local laws and statutes. NET WEIGHT: 1 lb. 5 oz.



1. Does the EPA Establishment Number in ACE match the label?

2. Does the label have a precaution statement and directions for use?

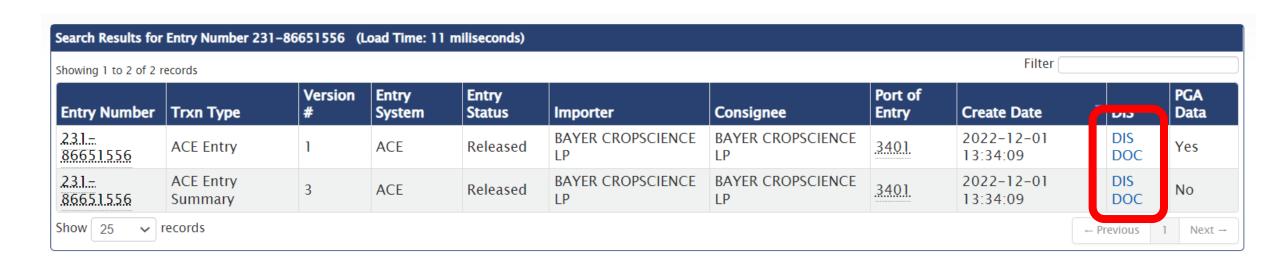
3. Is the EPA Establishment in compliance (submitted all reports)?

93719-CHN-3

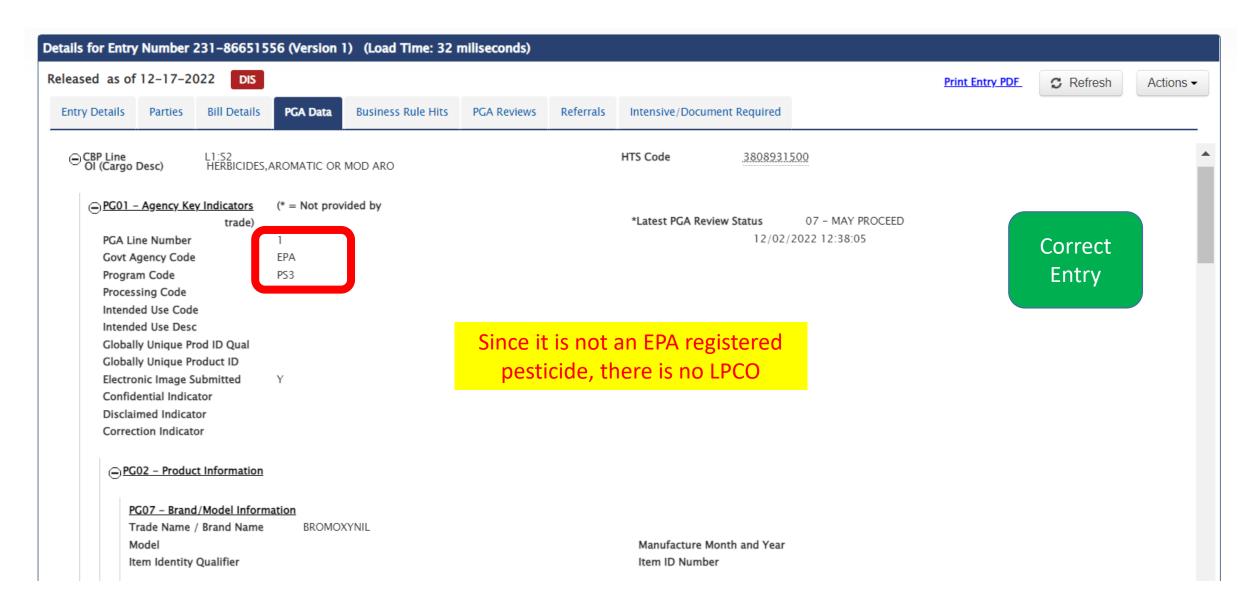




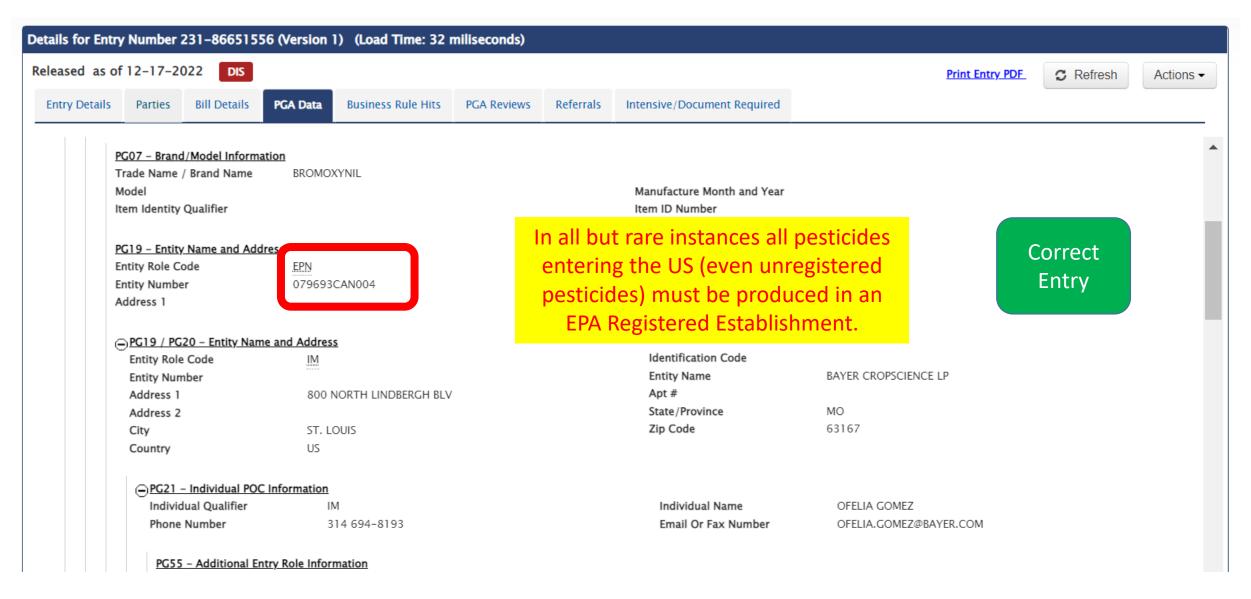
Correct Entry















1. Does the EPA Establishment Number in ACE match the label?

2. Does the label have the requirement R&D information?



#### First, Pesticide Status

PS1	Registered Pesticide
PS2	Pesticide Device
PS3	Pesticide Other (Research and Development, Minimum Risk)
TS1	Toxic Chemical (not a pesticide)

#### Second, Disclaim Code

- A Not Subject to FIFRA Regulations
- B **PGA Data**
- C Scanned/Signed by EPA NOA and Label uploaded to DIS
- D Scanned/Signed by EPA NOA with shipment



First, Pestic	ide Status	
PS1	Registered Pesticide	THESE MEANS HAS THE SECTION 3 LABEL IS ON THE PRODUCT
PS2	Pesticide Device	
PS3	Pesticide Other (Research a	and Development, Minimum Risk)
TS1	Toxic Chemical (not a pestic	:ide)
		THIS IS THE OPTION THAT SHOULD BE U

Second, Disclaim Code

**NO DISCLAIM** 

FOR PESTICIDES THAT ARE NOT LABELED

WITH THE SECTION 3 LABEL

- A Not Subject to FIFRA Regulations
- B **PGA Data**
- C Scanned/Signed by EPA NOA and Label uploaded to DIS
- D Scanned/Signed by EPA NOA with shipment



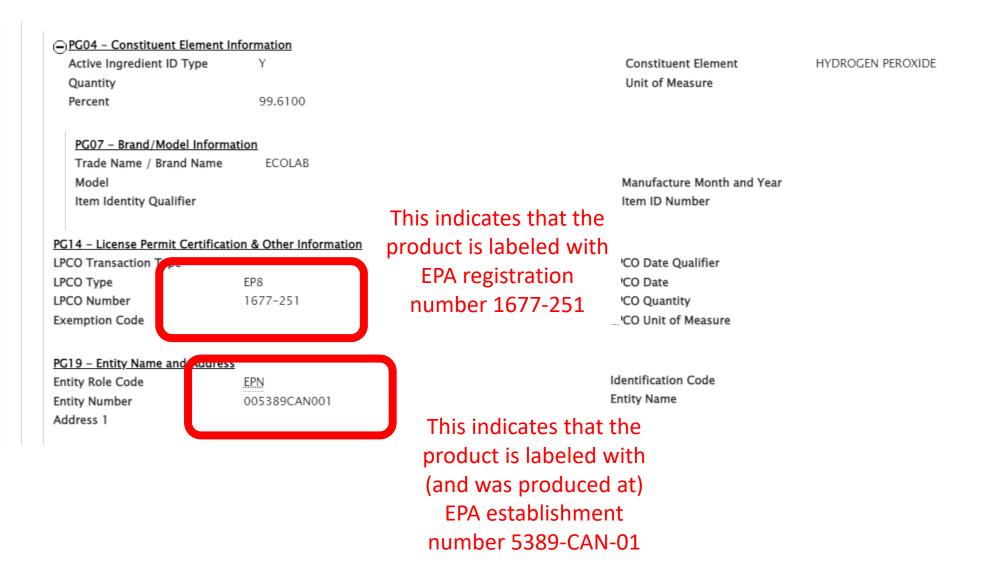
#### ACE ENTRY DATA





#### **ACE ENTRY DATA**

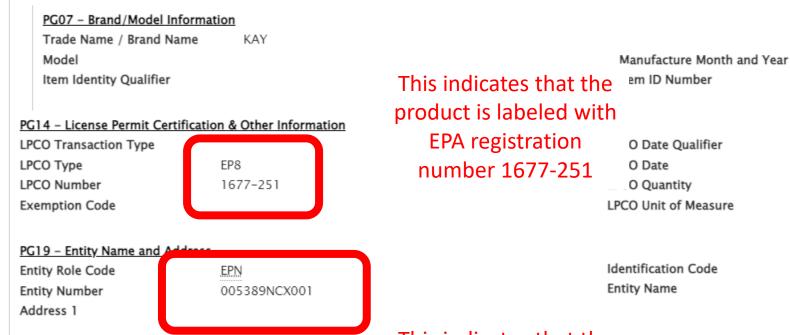
#### ENTRY NUMBER EI5-2359574-9





#### **ACE ENTRY DATA**

#### **ENTRY NUMBER EI5-23653092**



This indicates that the product is labeled with (and was produced at)
EPA establishment number 5389-NCX-01



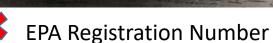
ACE ENTRY DATA PS1 Registered Pesticide

In DIS this is the label that was uploaded



EPA requested photos of the products and got these:





**EPA** Establishment Number



### Resources



### All EPA Registered Labels:

https://iaspub.epa.gov/apex/pesticides/f?p=PPLS:1

### **EPA FIFRA Imports**

https://www.epa.gov/compliance/importing-and-exporting-pesticides-and-devices

### All EPA Registered Establishments:

https://www.epa.gov/compliance/national-list-active-epa-registered-foreign-and-domestic-pesticide-andor-device-producing

## **EPA FIFRA Import Coordiantors**

https://www.epa.gov/compliance/regional-contacts-questions-concerning-notices-arrival-epa-form-3540-1



#### **Attachments:**

#### Tips for Trade when filing an EPA Pesticides ACE PGA Message Set

#### **Determining Filing Requirements:**

To determine if you need to file the Pesticides Notice of Arrival (NOA) data and the on-product label, please check with the EPA Regional Import Coordinator for the appropriate port, to assist with determining pre-arrival filing requirements. Contacts for the regions can be found at:

https://www.epa.gov/compliance/regional-contacts-questions-concerning-notices-arrival-epa-form-3540-1

#### Disclaims for ACE:

If an HTS code in ACE is flagged for EPA Pesticides, but you have determined that you are not fling the EPA Pesticides NOA data electronically, determine the correct disclaim code to provide.

If you do not need to file an EPA Pesticide NOA, use disclaim code

A = product is not regulated by this agency

If you cannot file electronically using the ACE PGA Message Set for the NOA, prior to arrival you will need to follow the paper process with the appropriate EPA region for the port of entry. You will need to provide the EPA-signed NOA with the entry or shipment. For this process, the disclaim codes are one of the following

C = data filed through other agency means (uploading to the document image system-DIS)

If disclaim C is provided for EPA Pesticides, an image of an EPA-signed Notice of Arrival must be provided in DIS for this Entry Number. If an EPA-signed NOA is not provided, it is a violation. If you meant to file a code A rather than a C you can use the CA transaction to submit the corrected information.

D = data filed through paper

If disclaim D is provided for EPA Pesticides, an EPA-signed Notice of Arrival must accompany the shipment.

Filings with disclaims C and D are subject to a Documents Required status to allow for verification of the signed paper NOA by the CBP port officers and/or the EPA Regional Import Coordinator.

### Resources



#### **Attachments:**

#### Tips for Filing

For questions on how to file, please refer to your Software Provider's training and guidance. In addition, please keep in mind these important items:

- Verify your Filer Code is active in ACE for EPA pesticides
- Make sure you are using the correct Government Program Agency Code



Last Updated: May 25, 2017



- o PS1 registered pesticides
- PS2 devices
- PS3 other pesticides
- Tag any related DIS images with both the Entry Number and the correct EPA tag, so EPA can view the documents in ACE
  - o EPA03 (NOA)
  - EPA04 (Pesticide Label)
- If possible, avoid entries with FDA lines when filing less than 5 days from arrival, as doing so
  prevents re-submissions to correct errors.
- Registration number format should be double-checked. Enter the number as it is provided, leaving in the dashes.
- Producer Establishment Number Format (NNNNNNAAANNN) should be double-checked.
   Remove dashes and add leading zeroes to both the first and last numbers if they do not follow the 6N 3A 3N rule.

### Resources



#### **Attachments:**

- Domestic producer establishment numbers will have a state code, while foreign producer establishment numbers will have a country code.
- For foreign establishments, the amount reported should only be that amount which is produced for import into the United States, not to other countries.
- Verify correct Country of Origin.
- Electronic Image Indicator should be used when the label has been upload into DIS prior to the filing.
- Check for inclusion of all Eight Entity Roles and their respective information (EPN, CB, DEQ, CAR, IM, NP, CI, LG)
- Check that the packaging is provided for at least 2 levels, and in addition, the total net weight is provided. There are multiple variations for packaging unit of measures, several of which can be found in the EPA CATAIR Supplemental and Samples documents. One simple example for the "packaging qualifier" (PG26) is:
  - Packaging Qualifier [5]: 1 = Largest unit of packaging
    - Quantity [6-17]: 000000110000 =1,100 units in shipment
    - Unit of Measure (packing level) [18-22]: BG = Bag
    - There are 1,100 units (bags) in the shipment.
  - Packaging Qualifier [5]: 2 = Second largest unit of packaging
    - Quantity [6-17]: 000000001520 = 15.2 measurement of smallest units in shipment.
    - Unit of Measure (packing level) [18-22]: KG = Unit of Measure of individual units is kilograms
    - The bags in this shipment are 15.2 kg each.
  - The net weight line (PG29) is for the total net amount of all the units in the shipment being imported (16731.45 kg)

Please refer to the CBP CATAIR and EPA Supplemental for more details and samples: https://www.cbp.gov/trade/ace/catair



### Questions?



Completion Code: 136F640D3B444E0

Christine Tokarz
EPA, Region 8, FIFRA Inspector
Tokarz.Christine@epa.gov
518.416.8965