Implementation Guide
Broker-Surety Connection
For Single Transaction Bonds

Implementation Guide for Messaging Interface
Between Broker/Filers and Sureties/Surety Agents

Version: 4.0
November 19, 2014
Customs Surety Executive Committee (CSEC)
Kewill
Descartes Systems Group
## Revision History

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<th>Document Version</th>
<th>Revision Date</th>
<th>Description of Revision</th>
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<tr>
<td>1.0</td>
<td>06/01/2014</td>
<td>Original document – Celeste Catano (Kewill)</td>
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<tr>
<td>1.1</td>
<td>06/06/2014</td>
<td>Updated XML definitions to include some missing data elements – Celeste Catano (Kewill)</td>
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<td>1.2</td>
<td>09/02/2014</td>
<td>Added Reason Codes – Colleen Clarke (Roanoke) Updated XML Definitions to match CSEC 8-8-14 Data Elements spreadsheet – John Greco (Shea)</td>
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<td>1.3</td>
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<td>Updated XML Definitions to include user authentication – Nathan Baylor (Avalon)</td>
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<td>1.4</td>
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<td>Added user authentication process in Interface Section – Jennifer Rome (Roanoke)</td>
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<td>1.5</td>
<td>09/12/2014</td>
<td>Updated user authentication process in Interface Section – John Greco (Shea)</td>
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<td>1.6</td>
<td>09/12/2014</td>
<td>Updated user authentication process in Interface Section – Nathan Baylor (Avalon)</td>
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<td>09/19/2014</td>
<td>Updated XML Definitions with minor data types changes – Nathan Baylor (Avalon)</td>
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<td>2.0</td>
<td>09/24/2014</td>
<td>Multiple changes – Fany Flores-Pastor (Descartes)</td>
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<td>2.1</td>
<td>09/30/2014</td>
<td>Update XSD/TXT; insert correct file – John Greco (Shea)</td>
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<tr>
<td>2.2</td>
<td>10/02/2014</td>
<td>Miscellaneous operational corrections – Dave Jordan (Roanoke)</td>
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<tr>
<td>2.3</td>
<td>10/03/2014</td>
<td>Misc. changes, updated XSD, added invitational email – Fany Flores-Pastor/Douwe Tolsma (Descartes)</td>
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<td>2.4</td>
<td>10/09/2014</td>
<td>Misc. data description changes, final review, accept changes, remove comments – Colleen Clarke (Roanoke)</td>
</tr>
<tr>
<td>3.0</td>
<td>11/07/2014</td>
<td>Added Surety to Broker data elements in table format – Jennifer Rome (Roanoke)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Added Disposition and Surety Response Code Usage Guides – Nathan Baylor (Avalon)</td>
</tr>
<tr>
<td>3.1</td>
<td>11/10/2014</td>
<td>Update misc. data elements/explanations; removed imbedded files; accepted changes – Colleen Clarke (Roanoke), Jennifer Rome (Roanoke)</td>
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<tr>
<td>3.2</td>
<td>11/11/2014</td>
<td>Update misc. data elements and error code table – Nathan Baylor (Avalon)</td>
</tr>
<tr>
<td>3.3</td>
<td>11/14/2014</td>
<td>Remove BCR Flag &amp; Rider Code – Dave Jordan (Roanoke)</td>
</tr>
<tr>
<td>3.4</td>
<td>11/17/2014</td>
<td>Added surety agent contact info – Colleen Clarke (Roanoke)</td>
</tr>
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<td></td>
<td></td>
<td>Added addtl surety to broker codes, formatting update – Jennifer Rome (Roanoke)</td>
</tr>
<tr>
<td>4.0</td>
<td>11/18/2014</td>
<td>Update XSD code – Nathan Baylor (Avalon)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Finalize document – Colleen Clarke (Roanoke)</td>
</tr>
</tbody>
</table>
Introduction

CBP has introduced the filing of Bonds by the sureties/surety agents through ABI. This will be implemented by CBP in January 2015. All bonds must be filed electronically by the sureties. CBP has stated that the relationship between the Sureties and the Brokers is a matter of private business and is not a relationship that CBP wants to be part of. The Customs Brokers will rely on the Sureties to perform that function.

Many of the sureties have Web Portals that can be used by the brokers to provide the data necessary to file a Single Transaction Bond. Much of this information is the same data that is currently supplied on the Customs Entry or ISF transaction. Brokers with a steady volume of Single Transaction Bonds will want to be able to send the data directly from their systems over to the sureties to avoid having to re-key any data. The sureties will provide status responses back to the Brokers to let them know their data is acceptable and that the surety can file the bond with CBP. Once a bond is successfully filed with CBP, the Broker will be able to file their entry.

Brokers have asked for a message from CBP to indicate that the bond is on file. Some brokers will use this message as an indicator that they can now file their entry. This notification will be based on a Secondary Notify Party being supplied with the bond filing by the sureties. The Secondary Notify Party code is made up of the Filer’s Port Code, Filer Code and Office Location Code.

Process Flow
# Messages from Broker to Surety

- Request for an STB

## Broker to Surety

### Data Types

- **A**  The data element is alphabetic only.
- **AN** The data element is alphanumeric only.
- **C**  The data element is special characters.
- **N**  The data element is numeric only.
- **X**  The data element is alphanumeric or special characters.

<table>
<thead>
<tr>
<th>Broker to Surety Data Element</th>
<th>Size/Type</th>
<th>Mandatory</th>
<th>Optional/Conditional</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Surety/Surety Agent Filer Code</td>
<td>3 AN</td>
<td>X</td>
<td></td>
<td>Surety Filer Code as assigned by CBP for ABI transmissions.</td>
</tr>
<tr>
<td>Importer Number (with suffix)</td>
<td>12 AN</td>
<td>X</td>
<td></td>
<td>Valid formats for the Principal ID Number are: NNNNNNNNNNNXX Internal Revenue Service (IRS) Number YYDDPP NNNNN CBP Assigned Number NNN NN NNNN Social Security Number In these codes, N = number, X = alphanumeric, YY = the last two digits of the calendar year when the number is assigned, DDPP = the district/port code where the number is assigned.</td>
</tr>
<tr>
<td>Importer Name</td>
<td>35 X</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Importer Physical Address</td>
<td></td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Line 1</td>
<td>35 X</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Line 2</td>
<td>35 X</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>City</td>
<td>35 X</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State</td>
<td>2 A</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Zip Code</td>
<td>9 N</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bond Type</td>
<td>1 N</td>
<td>X</td>
<td></td>
<td>Always 9</td>
</tr>
<tr>
<td>Bond Activity Code</td>
<td>2 AN</td>
<td>X</td>
<td></td>
<td>Valid Bond Activity Codes include; 1 Importer or Broker 1A Drawback Payments Refunds 16 Importer Security Filing (ISF)</td>
</tr>
<tr>
<td>CBP Bond Number</td>
<td>9 AN</td>
<td>X</td>
<td></td>
<td>Only used when the Bond Designation Type Code = V, C or R. Provide the CBP assigned bond number for which the action should be taken.</td>
</tr>
<tr>
<td>Port Code</td>
<td>4 N</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Broker to Surety Data Element</td>
<td>Size/Type</td>
<td>Mandatory</td>
<td>Optional/Conditional</td>
<td>Description</td>
</tr>
<tr>
<td>----------------------------------------</td>
<td>-----------</td>
<td>-----------</td>
<td>----------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Bond Designation Code</td>
<td>1 AN</td>
<td>X</td>
<td></td>
<td>A code representing the general purpose of the bond and the action to be taken. Valid codes are: B = Add a new basic bond. A = An additional bond; the bond that secures an AD/CVD, PGA or any other aspect not covered by the basic bond requirement. Applies to a Single Transaction Bond only. V = Void the Single Transaction Bond on file. C = Adjust the bond amount of the Single Transaction Bond on file. U = Substitute for the bond presented at time of entry. Applies to a Single Transaction Bond only. E = Supersede the bond presented at time of entry. Applies to a Single Transaction Bond only. R = Bond Rider to take the following actions: • US Virgin Islands</td>
</tr>
<tr>
<td>Transaction ID Type Code</td>
<td>1 N</td>
<td>X</td>
<td></td>
<td>1 = Entry Number, 2 = ISF Reference Number</td>
</tr>
<tr>
<td>Transaction ID (entry#, ISF transaction #, seizure number; drawback claim #) - type of # based on activity code</td>
<td>40 AN</td>
<td>X</td>
<td></td>
<td>Unique Transaction ID based on the Transaction ID Type Code. If it is less than 40 positions, it is left justified. Do not include spaces, hyphens, slashes or other special characters. Valid formats are: Entry Number: XXXNNNNNNNNC ISF Transaction Number: • Filed by a broker: FFFFNNNNNNNNNNN • Filed by an Carrier or Service Center: FFFFNNNNNNNNN1 FFFF = Filer code / SCAC NNNNNNNNNNNNN = Numeric sequence number</td>
</tr>
<tr>
<td>Entry Type</td>
<td>2 N</td>
<td>X</td>
<td></td>
<td>Entry Types as Defined by CBP</td>
</tr>
<tr>
<td>Bond Amount</td>
<td>10 N</td>
<td>X</td>
<td></td>
<td>Bond Amount in whole US dollars. Must be greater than zero.</td>
</tr>
<tr>
<td>STB Producer Account Number</td>
<td>10 AN</td>
<td>X</td>
<td></td>
<td>The Entry Filers identification number assigned by the Surety company of the STB</td>
</tr>
<tr>
<td>Secondary Notify Party (port code, filer code, office code)</td>
<td>9 AN</td>
<td>X</td>
<td></td>
<td>The format must be NNNNXXXXXN where NNNN is the Schedule D Code representing the CBP port of the secondary notify party; XXX is the filer code; and NN is the office code, if used by that filer. Up to four allowed.</td>
</tr>
<tr>
<td>Broker to Surety Data Element</td>
<td>Size/Type</td>
<td>Mandatory</td>
<td>Optional/Conditional</td>
<td>Description</td>
</tr>
<tr>
<td>--------------------------------</td>
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<td>-------------</td>
</tr>
<tr>
<td>Exception Contact Name</td>
<td>35 X</td>
<td>X</td>
<td></td>
<td>The name of a contact at the broker/filers who can be contacted if there are issues/questions with processing the bond.</td>
</tr>
<tr>
<td>Email</td>
<td>35 X</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Phone</td>
<td>20 X</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Broker Reference Number</td>
<td>25 X</td>
<td>X</td>
<td></td>
<td>A reference number provided by the broker/filer that will be returned with the response messages.</td>
</tr>
<tr>
<td>Total Estimated Value</td>
<td>12 N</td>
<td>X</td>
<td></td>
<td>Estimated Entered Value in whole US dollars. (Mandatory if activity code 1)</td>
</tr>
<tr>
<td><strong>Line Items – Multiple HTS numbers per line</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Line Number</td>
<td>10 N</td>
<td>X</td>
<td></td>
<td>Entry Line Number (Mandatory if activity code 1)</td>
</tr>
<tr>
<td>HTS Number</td>
<td>10 N</td>
<td>X</td>
<td></td>
<td>Harmonized Tariff Schedule Number without format. A 6 digit number can be used for ISF, otherwise supply 8 or 10 digits based on what is being sent on the entry data. (Mandatory if activity code 1)</td>
</tr>
<tr>
<td>Country of Origin</td>
<td>2 A</td>
<td>X</td>
<td></td>
<td>Use ISO Country Codes. (Mandatory if activity code 1)</td>
</tr>
<tr>
<td>Trade Agreement/Special Program Claim Code</td>
<td>2AN</td>
<td>X</td>
<td></td>
<td>A code that specifies an applicable trade agreement or applicable program that may reduce or eliminate duty and/or MPF (per CBP ACE ABI CATAIR – Entry Summary Create/Update)</td>
</tr>
<tr>
<td>Estimated Value</td>
<td>12 N</td>
<td>X</td>
<td></td>
<td>Estimated Entered Value in whole US dollars for the line item. Must be greater than zero.</td>
</tr>
<tr>
<td>Estimated Duty</td>
<td>12 N</td>
<td>X</td>
<td></td>
<td>Duty Amount for the line. 2 decimal places.</td>
</tr>
<tr>
<td>IR Taxes</td>
<td>12 N</td>
<td>X</td>
<td></td>
<td>IR Tax Amount for the line. 2 decimal places.</td>
</tr>
<tr>
<td>Commodity Fees</td>
<td>12 N</td>
<td>X</td>
<td></td>
<td>Commodity Fee Amount for the line. 2 decimal places. This includes fees like Cotton, Sugar, Potato and Raspberry</td>
</tr>
<tr>
<td>Other Fees</td>
<td>12 N</td>
<td>X</td>
<td></td>
<td>Other Fee Amount for the line. 2 decimal places. This includes fees like MPF and HMF.</td>
</tr>
<tr>
<td>Line Item AD/CVD Case Info</td>
<td></td>
<td></td>
<td></td>
<td>Can be repeated 2 times once ADD and another for CVD</td>
</tr>
<tr>
<td>Case Number</td>
<td>10 AN</td>
<td>X</td>
<td></td>
<td>ADD or CVD Case Number is mandatory whenever present irrespective of entry type. (Typically, though not exclusively 03, 07, &amp; occasionally 06.)</td>
</tr>
<tr>
<td>AD Duty</td>
<td>12 N</td>
<td>X</td>
<td></td>
<td>Amount for the line case. 2 decimal places. Required when Case Number is present. (Typically, though not exclusively 03, 07, &amp; occasionally 06.)</td>
</tr>
<tr>
<td>CV Duty</td>
<td>12 N</td>
<td>X</td>
<td></td>
<td>Amount for the line case. 2 decimal places. Required when Case Number is present.</td>
</tr>
<tr>
<td>Broker to Surety Data Element</td>
<td>Size/Type</td>
<td>Mandatory</td>
<td>Optional/Conditional</td>
<td>Description</td>
</tr>
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<td>-------------------------------</td>
<td>-----------</td>
<td>-----------</td>
<td>----------------------</td>
<td>-------------</td>
</tr>
<tr>
<td>Bond/Cash Claim Code</td>
<td>1 A</td>
<td></td>
<td>X</td>
<td>(Typically, though not exclusively 03, 07, &amp; occasionally 06.) An indication as to whether the duty amount is to be collected as a cash deposit or considered as under a bond as prescribed by the case. B = The duty amount is to be covered under a surety bond. C = The duty amount is to be collected as a cash deposit. Required when Case Number is present.</td>
</tr>
<tr>
<td>Case Deposit Rate</td>
<td>8 N</td>
<td>X</td>
<td></td>
<td>Case Deposit Rate from the AD/CVD Case Information. 2 decimal places. Required when Case Number is present.</td>
</tr>
<tr>
<td>Case Rate Type Qualifier Code</td>
<td>1 A</td>
<td>X</td>
<td></td>
<td>The type of rate prescribed by the case, which corresponds to the applicable deposit rate. Required when Case Number is present. A = The case rate is an ad valorem rate. S = The case rate is a specific rate.</td>
</tr>
<tr>
<td>AD/CVD Value of Goods Amount</td>
<td>10 N</td>
<td>X</td>
<td></td>
<td>Value of the article, to be used exclusively and in lieu of any other article value for the specific purpose of calculating any estimated antidumping or countervailing duty (when an ad valorem rate applies), reported in whole U.S. dollars. Required when Case Number is present.</td>
</tr>
<tr>
<td>AD/CVD Quantity</td>
<td>12 N</td>
<td>X</td>
<td></td>
<td>Total number of primary units (which corresponds to the UOM Code prescribed by the case) to be used for calculating the estimated antidumping or countervailing duty (when a specific rate applies). Four decimal places. Required when Case Number is present.</td>
</tr>
<tr>
<td>Bonded AD/CVD Duty Amount</td>
<td>12 N</td>
<td>X</td>
<td></td>
<td>Required when Bond/Cash Claim Code is “B”</td>
</tr>
<tr>
<td>Cash Deposit AD/CVD Duty Amount</td>
<td>12 N</td>
<td>X</td>
<td></td>
<td>Required when Bond/Cash Claim Code is “C”</td>
</tr>
<tr>
<td>AD/CVD Non-Reimbursement</td>
<td>1 A</td>
<td>X</td>
<td></td>
<td>Y = I hereby certify that I have not entered into any agreement or understanding for the payment or for the refunding to me, by the manufacturer, producer, seller, or exporter, of all or any part of the antidumping duties or countervailing duties assessed upon merchandise entered under this AD/CVD line of this entry summary. I further certify that U.S. Customs and Border Protection will be notified if there is any reimbursement of antidumping or countervailing duties by the manufacturer, producer, seller, or exporter to the importing company at any time in the</td>
</tr>
<tr>
<td>Broker to Surety Data Element</td>
<td>Size/Type</td>
<td>Mandatory</td>
<td>Optional/Conditional</td>
<td>Description</td>
</tr>
<tr>
<td>-------------------------------</td>
<td>-----------</td>
<td>-----------</td>
<td>---------------------</td>
<td>-------------</td>
</tr>
<tr>
<td>Declaration Identifier</td>
<td>10 AN</td>
<td></td>
<td>X</td>
<td>future for this AD/CVD line. Required when Case Number is present</td>
</tr>
<tr>
<td>Line Item PGA Codes</td>
<td></td>
<td></td>
<td></td>
<td>Can be repeated to show all PGA codes applicable to the line.</td>
</tr>
<tr>
<td>PGA Code</td>
<td>3 AN</td>
<td>X</td>
<td></td>
<td>“Government Agency Code” per PGA Message Set</td>
</tr>
<tr>
<td>PGA Disclaimer Code</td>
<td>1 A</td>
<td>X</td>
<td></td>
<td>If PGA involved</td>
</tr>
<tr>
<td>ISF Details</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Estimated Vessel Departure Date</td>
<td>Date</td>
<td>X</td>
<td></td>
<td>If ISF Unified or stand alone</td>
</tr>
</tbody>
</table>
| Action Reason Code            | 2 A       | X         |                     | CT = Complete Transaction  
FR = Flexible Range  
FT = Flexible Timing  
FX = Flexible Range and Flexible Timing |
| Action Code                   | 1 A       | X         |                     | A = Add  
D = Delete  
R = Replace |
Messages from Surety to Broker

- Response/Status
  - Data Errors – Reject Message
  - Data Accepted – Pending Review
  - Data Accepted – Bond Data sent to CBP
  - Review Complete – Bond Data sent to CBP
  - Review Complete – Bond not authorized
  - Bond Accepted by CBP
  - CBP Rejection- Correction Required

Surety to Broker

Data Types
- A The data element is alphabetic only.
- AN The data element is alphanumeric only.
- C The data element is special characters.
- N The data element is numeric only.
- X The data element is alphanumeric or special characters.

<table>
<thead>
<tr>
<th>Surety to Broker Data Element</th>
<th>Size/Type</th>
<th>Mandatory</th>
<th>Optional/Conditional</th>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td>Transaction ID Type Code</td>
<td>1 N</td>
<td>X</td>
<td></td>
<td>1 = Entry Number, 2 = ISF Reference Number</td>
</tr>
</tbody>
</table>
| Transaction ID (entry#, ISF transaction #, seizure number; drawback claim #) - type of # based on activity code | 40 AN | X | Unique Transaction ID based on the Transaction ID Type Code. If it is less than 40 positions, it is left justified. Do not include spaces, hyphens, slashes or other special characters. Valid formats are: Entry Number: XXXNNNNNNNC ISF Transaction Number:  
  • Filed by a broker: FFFFNNNNNNNNNNNN  
  • Filed by an Carrier or Service Center: FFFFNNNNNNNNNNNN  
    FFFF = Filer code / SCAC  
    NNNNNNNNNNNN = Numeric sequence number |
| Broker Reference Number       | 25 X      | X         |                      | A reference number provided by the broker/filer that will be returned with the response messages. |
| Disposition Code              | 1 A       | X         |                      | A = Accepted by CBP  
  E = Data Errors – See Attached Errors  
  R = Data Accepted by Surety – Pending Review  
  X = Bond Rejected by CBP – See Attached Errors |
| Surety Response Code          | 3 AN      | X         |                      | Code representing the error or response:  
  B01 = Surety Rejection – Data Correction Required  
  B02 = Data Accepted – Pending Underwriting Review |
<table>
<thead>
<tr>
<th>Surety to Broker Data Element</th>
<th>Size/Type</th>
<th>Mandatory</th>
<th>Optional/Conditional</th>
<th>Description</th>
</tr>
</thead>
</table>
| Surety Response Description  | 50 AN     | X         |                      | Code representing the error or response:  
|                              |           |           |                      | B01 = Surety Rejection – Data Correction Required  
|                              |           |           |                      | B02 = Data Accepted – Pending Underwriting Review  
| Bond Designation Code        | 1 AN      | X         |                      | A code representing the general purpose of the bond and the action to be taken. Valid codes are:  
|                              |           |           |                      | B = Add a new basic bond.  
|                              |           |           |                      | A = An additional bond; the bond that secures an AD/CVD, PGA or any other aspect not covered by the basic bond requirement. Applies to a **Single Transaction Bond** only.  
|                              |           |           |                      | V = Void the **Single Transaction Bond** on file.  
|                              |           |           |                      | C = Adjust the bond amount of the **Single Transaction Bond** on file.  
|                              |           |           |                      | U = Substitute for the bond presented at time of entry. Applies to a **Single Transaction Bond** only.  
|                              |           |           |                      | E = Supersede the bond presented at time of entry. Applies to a **Single Transaction Bond** only.  
|                              |           |           |                      | R = Bond Rider to take the following actions:  
|                              |           |           |                      | • US Virgin Islands  
| Surety Code                  | 3 AN      | X         |                      | Surety code as assigned by the surety/surety agent.  
| Bond Amount                  | 10 N      | X         |                      | Bond Amount in whole US dollars. Must be greater than zero.  
| Surety Reference Number      | 9 AN      | X         |                      | This is an option field provided for the Surety/Surety Agent's internal use. A 9-character self-generated surety reference number that a surety may opt to submit to CBP and have returned, unmodified in the response from CBP.  
| CBP Bond Number              | 9 AN      | X         |                      | The CBP number assigned to the bond.  

**Version 4.0**
<table>
<thead>
<tr>
<th>Surety to Broker Data Element</th>
<th>Size/Type</th>
<th>Mandatory</th>
<th>Optional/Conditional</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Importer Number (with suffix)</td>
<td>12 AN</td>
<td>X</td>
<td></td>
<td>Valid formats for the Principal ID Number are: NNNNNNNNNXXX Internal Revenue Service (IRS) Number, YYDDPP NNNNN CBP Assigned Number, NNN NN NNNN Social Security Number. In these codes, N = number, X = alphanumeric, YY = the last two digits of the calendar year when the number is assigned, DDPP = the district/port code where the number is assigned.</td>
</tr>
<tr>
<td>Importer Name</td>
<td>35 X</td>
<td>X</td>
<td></td>
<td>The name of a contact at the Surety/Surety Agent who can be contacted if there are issues/questions with processing the bond.</td>
</tr>
<tr>
<td>Surety Contact Name</td>
<td>35 AN</td>
<td>X</td>
<td></td>
<td>The email address of a contact at the Surety/Surety Agent who can be contacted if there are issues/questions with processing the bond.</td>
</tr>
<tr>
<td>Surety Contact Email</td>
<td>35 AN</td>
<td>X</td>
<td></td>
<td>The telephone number of a contact at the Surety/Surety Agent who can be contacted if there are issues/questions with processing the bond.</td>
</tr>
<tr>
<td>Surety Contact Phone</td>
<td>20 AN</td>
<td>X</td>
<td></td>
<td>Can be repeated to show all Reason Codes applicable to the bond.</td>
</tr>
<tr>
<td>Line Items – Multiple HTS numbers per line</td>
<td></td>
<td></td>
<td></td>
<td>Sequence Number</td>
</tr>
<tr>
<td>Line Number</td>
<td>5 N</td>
<td>X</td>
<td></td>
<td>Harmonized Tariff Schedule Number without format. A 6 digit number can be used for ISF, otherwise supply 8 or 10 digits based on what is being sent on the entry data. (Mandatory if activity code 1)</td>
</tr>
<tr>
<td>HTS Number</td>
<td>10 N</td>
<td>X</td>
<td></td>
<td>Code representing the error or reason. See attached table.</td>
</tr>
<tr>
<td>Reason Code</td>
<td>3 AN</td>
<td>X</td>
<td></td>
<td>Description of the code representing the error or reason. See attached table.</td>
</tr>
</tbody>
</table>
### Surety to Broker – Disposition Code Usage Guide

<table>
<thead>
<tr>
<th>Code</th>
<th>Narrative Text</th>
<th>Definition</th>
<th>Surety Response Codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>E</td>
<td>Data Errors – see attached errors</td>
<td>Surety cannot accept XML as formatted</td>
<td>B01</td>
</tr>
<tr>
<td>R</td>
<td>Data Accepted by Surety – Pending Review</td>
<td>Surety accepted XML and is pending CBP response or reviewing data for underwriting concerns</td>
<td>B02, B03, B04, B05</td>
</tr>
<tr>
<td>A</td>
<td>Bond Accepted by CBP</td>
<td>CBP ACE ABI CX CATAIR Message(^1) CBP Condition Code 992 - 998</td>
<td>B06</td>
</tr>
<tr>
<td>X</td>
<td>Bond Rejected by CBP – see attached errors</td>
<td>CBP ACE ABI CX CATAIR Message CBP Condition Code S01 – S76 or S98</td>
<td>B07</td>
</tr>
</tbody>
</table>

### Surety to Broker – Surety Response Code Usage Guide

<table>
<thead>
<tr>
<th>Code</th>
<th>Narrative Text</th>
<th>Disposition Codes</th>
<th>Reason Codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>B01</td>
<td>Surety Rejection - Data Correction Required</td>
<td>E</td>
<td>See Reason Code Table</td>
</tr>
<tr>
<td>B02</td>
<td>Data Accepted - Pending Underwriting Review</td>
<td>R</td>
<td>See Reason Code Table</td>
</tr>
<tr>
<td>B03</td>
<td>Data Accepted - Bond Data Sent to CBP</td>
<td>R</td>
<td>See Reason Code Table</td>
</tr>
<tr>
<td>B04</td>
<td>Review Complete - Bond Data Sent to CBP</td>
<td>R</td>
<td>Narrative Text</td>
</tr>
<tr>
<td>B05</td>
<td>Review Complete - Bond Not Authorized</td>
<td>R</td>
<td>Narrative Text</td>
</tr>
<tr>
<td>B06</td>
<td>Bond Accepted by CBP</td>
<td>A</td>
<td>CBP ACE ABI CX CATAIR Message Condition Codes + Narrative Text</td>
</tr>
<tr>
<td>B07</td>
<td>CBP Rejection - Correction Required</td>
<td>X</td>
<td>CBP ACE ABI CX CATAIR Message Condition Codes + Narrative Text</td>
</tr>
</tbody>
</table>

### Surety to Broker – Reason Codes

<table>
<thead>
<tr>
<th>Surety Response Code</th>
<th>Reason Code</th>
<th>Reason Description</th>
<th>Character Length</th>
</tr>
</thead>
<tbody>
<tr>
<td>B01</td>
<td>001</td>
<td>Bond Designation Code invalid or missing.</td>
<td>41</td>
</tr>
<tr>
<td>B01</td>
<td>002</td>
<td>Surety Code invalid or missing.</td>
<td>31</td>
</tr>
<tr>
<td>B01</td>
<td>003</td>
<td>Importer Number invalid or missing.</td>
<td>35</td>
</tr>
<tr>
<td>B01</td>
<td>004</td>
<td>Importer Name invalid or missing.</td>
<td>33</td>
</tr>
<tr>
<td>B01</td>
<td>005</td>
<td>Importer Physical address invalid or missing.</td>
<td>45</td>
</tr>
<tr>
<td>B01</td>
<td>006</td>
<td>Importer Physical Country Code invalid or missing.</td>
<td>50</td>
</tr>
<tr>
<td>B01</td>
<td>007</td>
<td>Bond Type invalid or missing.</td>
<td>29</td>
</tr>
<tr>
<td>B01</td>
<td>008</td>
<td>Bond Activity Code invalid or missing.</td>
<td>36</td>
</tr>
<tr>
<td>B01</td>
<td>009</td>
<td>Port of Entry Code is invalid.</td>
<td>38</td>
</tr>
<tr>
<td>B01</td>
<td>010</td>
<td>Trans ID Type Code invalid or missing.</td>
<td>38</td>
</tr>
</tbody>
</table>

\(^1\) CBP ACE ABI CX CATAIR message is located in the ACE ABI Customs eBond Create/Update chapter

Version 4.0
<table>
<thead>
<tr>
<th>Surety Response Code</th>
<th>Reason Code</th>
<th>Reason Description</th>
<th>Character Length</th>
</tr>
</thead>
<tbody>
<tr>
<td>B01</td>
<td>011</td>
<td>Transaction ID Code invalid or missing.</td>
<td>39</td>
</tr>
<tr>
<td>B01</td>
<td>012</td>
<td>Entry Type code invalid or missing.</td>
<td>35</td>
</tr>
<tr>
<td>B01</td>
<td>013</td>
<td>Bond Amount invalid or missing.</td>
<td>31</td>
</tr>
<tr>
<td>B01</td>
<td>014</td>
<td>STB Producer Account Number invalid.</td>
<td>36</td>
</tr>
<tr>
<td>B01</td>
<td>015</td>
<td>SNP code invalid.</td>
<td>17</td>
</tr>
<tr>
<td>B01</td>
<td>016</td>
<td>Exception Contact invalid or missing.</td>
<td>37</td>
</tr>
<tr>
<td>B01</td>
<td>017</td>
<td>Broker Reference Number invalid.</td>
<td>32</td>
</tr>
<tr>
<td>B01</td>
<td>018</td>
<td>Total Estimated Value invalid or missing.</td>
<td>41</td>
</tr>
<tr>
<td>B01</td>
<td>019</td>
<td>HTS Number (per line) invalid or missing.</td>
<td>41</td>
</tr>
<tr>
<td>B01</td>
<td>020</td>
<td>Country of Origin (line item) invalid or missing.</td>
<td>49</td>
</tr>
<tr>
<td>B01</td>
<td>021</td>
<td>Total Estimated Value (line item) invalid or missing.</td>
<td>53</td>
</tr>
<tr>
<td>B01</td>
<td>022</td>
<td>Total Estimated Duty (line item) invalid or missing.</td>
<td>52</td>
</tr>
<tr>
<td>B01</td>
<td>023</td>
<td>Total Estimated IR Tax (line item) invalid or missing.</td>
<td>54</td>
</tr>
<tr>
<td>B01</td>
<td>024</td>
<td>Total Estimated Fees (line item) invalid or missing.</td>
<td>52</td>
</tr>
<tr>
<td>B01</td>
<td>025</td>
<td>AD/CV case number (line item) invalid or missing (if entry type 3).</td>
<td>49</td>
</tr>
<tr>
<td>B01</td>
<td>026</td>
<td>AD/CV Duty amount (line item) invalid or missing (if entry type 3).</td>
<td>68</td>
</tr>
<tr>
<td>B01</td>
<td>027</td>
<td>Bond/Cash Claim Code (line item) invalid or missing (if entry type 3).</td>
<td>71</td>
</tr>
<tr>
<td>B01</td>
<td>028</td>
<td>Case Deposit Rate (line item) invalid or missing (if entry type 3).</td>
<td>68</td>
</tr>
<tr>
<td>B01</td>
<td>029</td>
<td>Case Rate Type Qualifier Code (line item) invalid or missing (if entry type 3).</td>
<td>80</td>
</tr>
<tr>
<td>B01</td>
<td>030</td>
<td>AD/CV Value of Goods Amount (line item) invalid or missing (if entry type 3).</td>
<td>78</td>
</tr>
<tr>
<td>B01</td>
<td>031</td>
<td>AD/CV Quantity (line item) invalid or missing (if entry type 3).</td>
<td>65</td>
</tr>
<tr>
<td>B01</td>
<td>032</td>
<td>Bonded AD/CV Duty Amount (line item) invalid or missing (if entry type 3).</td>
<td>75</td>
</tr>
<tr>
<td>B01</td>
<td>033</td>
<td>Cash Deposit AD/CV Duty Amount (line item) invalid or missing (if entry type 3).</td>
<td>81</td>
</tr>
<tr>
<td>B01</td>
<td>034</td>
<td>AD/CV Non-Reimbursement (line item) invalid or missing (if entry type 3).</td>
<td>74</td>
</tr>
<tr>
<td>B01</td>
<td>035</td>
<td>Declaration Identifier (line item) invalid or missing (if entry type 3).</td>
<td>73</td>
</tr>
<tr>
<td>B01</td>
<td>036</td>
<td>PGA Code invalid or missing.</td>
<td>28</td>
</tr>
<tr>
<td>B01</td>
<td>037</td>
<td>PGA Disclaimer Code invalid or missing.</td>
<td>39</td>
</tr>
<tr>
<td>B01</td>
<td>038</td>
<td>Estimated Vessel Departure Date invalid or missing (ISF only).</td>
<td>62</td>
</tr>
<tr>
<td>B01</td>
<td>039</td>
<td>ISF Action Reason Code invalid or missing (ISF only).</td>
<td>53</td>
</tr>
<tr>
<td>B01</td>
<td>040</td>
<td>Not used with the bond designation type code.</td>
<td>45</td>
</tr>
<tr>
<td>B01</td>
<td>041</td>
<td>Duplicate bond – bond already on file.</td>
<td>38</td>
</tr>
<tr>
<td>B01</td>
<td>042</td>
<td>Duplicate bond – pending review.</td>
<td>32</td>
</tr>
<tr>
<td>B01</td>
<td>043</td>
<td>Duplicate bond – not authorized.</td>
<td>32</td>
</tr>
<tr>
<td>B01</td>
<td>044</td>
<td>Bond already voided.</td>
<td>20</td>
</tr>
<tr>
<td>B01</td>
<td>045</td>
<td>Entry Type required for Trans ID Type.</td>
<td>38</td>
</tr>
<tr>
<td>B01</td>
<td>046</td>
<td>CBP Bond Number invalid or missing</td>
<td>34</td>
</tr>
<tr>
<td>B01</td>
<td>047</td>
<td>Line Number invalid or missing</td>
<td>30</td>
</tr>
<tr>
<td>B01</td>
<td>048</td>
<td>Other Fees (line item) invalid or missing</td>
<td>41</td>
</tr>
<tr>
<td>B01</td>
<td>049</td>
<td>Action Code invalid or missing (ISF only)</td>
<td>41</td>
</tr>
<tr>
<td>B01</td>
<td>999</td>
<td>Other/free-form</td>
<td>Various</td>
</tr>
<tr>
<td>B02</td>
<td>001</td>
<td>Outside of underwriting limits</td>
<td>31</td>
</tr>
<tr>
<td>Surety Response Code</td>
<td>Reason Code</td>
<td>Reason Description</td>
<td>Character Length</td>
</tr>
<tr>
<td>----------------------</td>
<td>-------------</td>
<td>------------------------------------</td>
<td>------------------</td>
</tr>
<tr>
<td>B02</td>
<td>002</td>
<td>Exceeds aggregate liability limits</td>
<td>35</td>
</tr>
<tr>
<td>B02</td>
<td>003</td>
<td>Open claims</td>
<td>12</td>
</tr>
<tr>
<td>B02</td>
<td>004</td>
<td>Surety Losses</td>
<td>14</td>
</tr>
<tr>
<td>B02</td>
<td>005</td>
<td>Bankruptcy/insolvency proceedings</td>
<td>34</td>
</tr>
<tr>
<td>B02</td>
<td>006</td>
<td>Importer on CBP sanction</td>
<td>25</td>
</tr>
<tr>
<td>B02</td>
<td>007</td>
<td>Bad address</td>
<td>12</td>
</tr>
<tr>
<td>B02</td>
<td>999</td>
<td>Other/free-form</td>
<td>Various</td>
</tr>
<tr>
<td>B06</td>
<td>CBP CATAIR Condition Codes</td>
<td>CBP CATAIR Condition Code Narrative Text</td>
<td>Various</td>
</tr>
<tr>
<td>B07</td>
<td>CBP CATAIR Condition Codes</td>
<td>CBP CATAIR Condition Code Narrative Text</td>
<td>Various</td>
</tr>
</tbody>
</table>

**XML File Definitions**

See Appendix A: Broker to Surety Schema

See Appendix B: Surety to Broker Schema

**Surety Agent Contact Information**

See Appendix C: Surety Agent and Surety Company Relationship Table

Surety companies or their agents with CBP connections are:

<table>
<thead>
<tr>
<th>Surety/Surety Agent</th>
<th>Surety/Surety Agent Filer Code</th>
<th>Contact Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Avalon Risk Management</td>
<td>GN4</td>
<td>Katherine Priore, Business Analysis Manager</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:Kpriore@avalonrisk.com">Kpriore@avalonrisk.com</a></td>
</tr>
<tr>
<td></td>
<td></td>
<td>(847) 700-8112</td>
</tr>
<tr>
<td>C.A. Shea &amp; Company Inc,</td>
<td>WY8</td>
<td>John Greco, I.T. Manager</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:John.greco@cashea.com">John.greco@cashea.com</a></td>
</tr>
<tr>
<td></td>
<td></td>
<td>(908) 879-0990</td>
</tr>
<tr>
<td>Integro Insurance Brokers</td>
<td>WZC</td>
<td>Ed Mascher, Vice President – Trade &amp; Logistics</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:Ed.mascher@integrogroung.com">Ed.mascher@integrogroung.com</a></td>
</tr>
<tr>
<td></td>
<td></td>
<td>(415) 254-9563</td>
</tr>
<tr>
<td>International Bond &amp; Marine</td>
<td>WDU</td>
<td>Jason Wieselman, Product Development</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:Jasonw@intlbondmarine.com">Jasonw@intlbondmarine.com</a></td>
</tr>
<tr>
<td></td>
<td></td>
<td>(201) 653-6100</td>
</tr>
<tr>
<td>International Fidelity Ins Co</td>
<td>WZB</td>
<td>Timothy Murphy, Asst Vice President – Customs Bond Desk</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:tmurphy@ific.com">tmurphy@ific.com</a></td>
</tr>
<tr>
<td></td>
<td></td>
<td>(973) 624-7200</td>
</tr>
<tr>
<td>Roanoke Insurance Group Inc,</td>
<td>AXV</td>
<td>Jennifer Rome, Asst Vice President – Product Development</td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:Jennifer.rome@roanokegroup.com">Jennifer.rome@roanokegroup.com</a></td>
</tr>
<tr>
<td></td>
<td></td>
<td>(847) 969-1420</td>
</tr>
</tbody>
</table>
Interface Description

XML message format will be used to pass the data between the broker and the sureties.

Trade Partners may submit XML messages via secure web services (HTTPS) hosted by Surety companies and Brokers on the internet. Trade partners will receive a synchronous response indicating the status of the web service call, but detailed response messages back to the broker regarding the processing status of the submitted message will be sent via secure web services hosted by the Brokers.

Authenticity of eBond Transmissions

The following mechanisms are used to enhance the security and authenticity of the exchange of eBond messages.

Web Service Access authorization

The preferred ‘transmission’ mechanism will be Secure Web Services. The web services should be provided by an SSL protected server using Basic Authentication as the mechanism to ensure against unauthorized access from the internet.

Basic Authentication is a well-known (and well-supported) authentication mechanism that is implemented in most web servers and web service toolkits. By transferring the credentials in the HTTP header the credentials are better protected than when transferring them in the XML contents and/or URL.

The Basic Authentication credentials are issued by the organization operating the web service to the organization that will be submitting the document. When brokers are using an information or application services provider, the information services provider may use the same identity to deliver messages for multiple brokers.

Message originator identification

It is recommended to use SOAP-WSA (Web Service Addressing) to identify the original sender and intended recipient for the Broker-to-Surety XML message (see below) as well as the Surety-to-Broker traffic.

```
<S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
  <S:Header>
    <wsa:From>
      <wsa:Address>urn:abi:DM9</wsa:Address>
    </wsa:From>
    <wsa:To>urn:abi:S21</wsa:To>
    <wsa:Action>urn:myVan:BrokerToSurety</wsa:Action>
  </S:Header>
  <S:Body>
    ...
  </S:Body>
</S:Envelope>
```

The preferred naming scheme for the original sender and intended recipient in the eBond interchange is the ABI filer code which is issued by CBP to both Broker and Surety companies. Using this identifier will reduce the administration and setup effort.
Authenticity of Content
To provide additional authenticity of the content of the message without adding a plain text password in the XML content the WSSE Username Token standard is recommended. By using the brokerEntryNumber as a Nonce value\(^2\), the UsernameToken value found in broker entry message header cannot be used for other entries or for the same entry number at a later time.

\[
\text{<wsse:UsernameToken>}
\text{  <wsse:Username> DM7</wsse:Username>}
\text{  <wsse:Password Type="\#PasswordDigest"> ... </wsse:Password>}
\text{  <wsse:Nonce EncodingType="..."> \$brokerEntryNumber</wsse:Nonce>}
\text{  <wsu:Created> 2014-09-19T15:23:34Z </wsu:Created>}
\text{</wsse:UsernameToken>}
\]

Where Password = Base64 (SHA-1 (nonce + created + password)).
This password is a shared secret between each broker and bond company and is issued by the sending organization to each recipient.

Registration Flow
On request of the Surety companies a registration flow is specified. The registration flow aims to reduce the amount of setup effort required to setup a broker.

The registration is initiated by the Surety Company to each Broker or trusted Service Provider once by sending an eBondRegistrationInvitation message by E-mail.

The e-mail contains the initial information to establish the connectivity.

The flow is depicted in the UML flow diagram below:

---
\(^2\) Mainstream use of a Nonce value requires the recipient to check that every Nonce value is only used once. By using the brokerEntry number as a Nonce, the same Nonce can be used more than once. Because two messages for the same broker entry number will never be sent at the exact same time, the intended protection against reuse of the authorization is preserved.
The convention used is that the web service connection is between service providers (with Surety Companies and some Customs Brokers being their own service provider), and that the content authenticity is between the Broker and the Surety Company.

**Invitation**

The eBondRegistrationInvitation contains:

1. The ABI filer code of the Surety Company inviting
2. The ABI filer code of the broker to be invited, in case of an invitation to a service provider this information may be omitted.
3. URL at which the Surety Company will accept RegistrationRequest
4. URL at which the Surety Company will accept Broker-to-Surety messages
5. Communication access username for the broker or its service provider
6. Communication access password for the broker or its service provider
7. Name of the technical contact at the Surety Company
8. E-mail address of the technical contact
9. Telephone number of the technical contact
It is recommended for Surety Companies to send up-front an invitation to trusted Information Services Providers to initiate and test a connection with the information services provider in advance. When brokers forward their invitation from a Surety Company already provisioned by their service provider, this information service provider will use the already established connection to send the registration request.

An example of an invitation E-mail message can be found below.

```xml
<?xml version="1.0"?>
<re:RegistrationRequest
 xmlns:re="urn:us:csec:ebond:RegistrationRequest"
 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
 xsi:schemaLocation="urn:us:csec:ebond:RegistrationRequest http://www.w3.org/2001/XMLSchema-instance"
 xmlns:re="urn:us:csec:ebond:RegistrationRequest">
 <re:RequestingSurety>DM9</re:RequestingSurety>
 <re:registrationInvitationUrl>https://webservicessuretyinc.com/RegistrationRegistration.asmx</re:registrationInvitationUrl>
 <re:RegistrationServiceUrl>https://webservicessuretyinc.com/RegistrationService.asmx</re:RegistrationServiceUrl>
 <re:accessUserName>john.smith@suretyinc.com</re:accessUserName>
 <re:technicalContactName>John Smith</re:technicalContactName>
 <re:technicalContactEmail>john.smith@suretyinc.com</re:technicalContactEmail>
 <re:technicalContactPhone>+1 (555) 555-5555</re:technicalContactPhone>
</re:RegistrationRequest>
```

**RegistrationRequest**

The registration request will be submitted by the Broker (or its information services provider) to the Surety Company eBondRegistrationRequest web service access point.

The broker/SP will use the communication access credentials provided in the eBondRegistrationInvitation E-mail to authenticate the RegistrationRequest.

Some service providers may not be able to send passwords in the body of web service requests, these service providers will deliver the communication access credentials to the Surety Company separately.

The XML content submitted will contain the following content.

```xml
<S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
 <S:Header>
   <wsa:From>
     <wsa:Address>urn:abi:DM9</wsa:Address>
   </wsa:From>
   <wsa:To>urn:abi:521</wsa:To>
   <wsa:Action>urn:myvan:RegisterReq</wsa:Action>
 </S:Header>
 <S:Body>
   <r:RegistrationRequest
    xmlns:r="urn:us:csec:ebond:RegistrationRequest"
```
And a possible response is:

```xml
<S:Envelope xmlns:S="http://www.w3.org/2003/05/soap-envelope"
    <S:Header>
        <wsa:From>
            <wsa:Address>urn:abi:DM9</wsa:Address>
        </wsa:From>
        <wsa:To>urn:abi:S21</wsa:To>
        <wsa:Action>urn:myvan:RegRes</wsa:Action>
    </S:Header>
    <S:Body>
        <r:eBondRegistrationResponse xmlns:r="urn:us:csec:ebond:ebondRegistration"
            xsi:schemaLocation="https://www.myvan.descartes.com/schemas/ebond/ebondRegistrationResponse.xsd">
            <r:approved>false</r:approved>
            <r:reason>Surety Company ‘S21’ does not service Customs Broker with ABI filer code ‘DM9’</r:reason>
        </r:eBondRegistrationResponse>
    </S:Body>
</S:Envelope>
```

Please note that unlike the BrokerToSurety and the SuretyToBroker messages the eBondRegistrationRequest does not have a UsernameToken in the SOAP header.

**Other Communication Protocols**

Any specific Surety company may choose to support communication protocols beyond web services, such as secure FTP. Messages sent via this web service protocol will be in the approved BrokerToSurety and SuretyToBroker XSD formats. All communication protocols should have Broker and Surety endpoints to allow for either party to push messages.

---

3 FTP has its own access authentication method similar to Basic Authentication. Use of the WSA header and/or the UsernameToken elements are still recommended even when using FTP.
Reusability of connection

During the design of this interface the Broker and Surety participants expressed the intention to keep their service URLs the same for all their trading partners. This will enable information service providers to the Surety and Broker entities to reduce the configuration and support migrations in a more efficient manner.

Please also note that network access credentials are issued to the entities that directly use the web services, a service provider that represents a group of brokers can do that using a single set of access credentials. On premise-application providers will however use different sets of credentials for each of their broker customers.

Regardless of whether an information service provider is used or not, the SharedSecret between the Broker and the Surety should be different for each Broker-Surety combination.
Appendix A: Broker to Surety Schema

The following pages reflect the xsd code for the transmission of bond data elements from a customs broker's ABI system to their surety/surety agent.
Principal ID Number are:

NN-NNNNNNNNN
Internal Revenue Service (IRS) Number

YYDDPP-NNNNN
CBP-Assigned Number

NNN-NN-NNNN
Social Security Number

In these codes, N = number, X = alphanumeric, YY = the last two digits of the calendar year when the number is assigned, DDPP = the district/port code where the number is assigned. <xs:documentation>

<xs:simpleType>
  <xs:restriction base="xs:string">
    <xs:minLength value="12"/>
    <xs:maxLength value="11"/>
  </xs:restriction>
</xs:simpleType>
</xs:element>
<xs:element name="ImporterName"/>
</xs:documentation> Importers
BrokerToSurety - Rev 13 (2)

<x:s:element name="ImporterAddressLine1">
  <xs:annotation>
    <xs:documentation>Importers 1st line of physical address</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:maxLength value="40"/>
      <xs:minLength value="1"/>
    </xs:restriction>
  </xs:simpleType>
</xs:element>

<x:s:element name="ImporterAddressLine2">
  <xs:annotation>
    <xs:documentation>Importers 2nd line of physical address</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:maxLength value="100"/>
      <xs:minLength value="1"/>
    </xs:restriction>
  </xs:simpleType>
</xs:element>

<x:s:element name="ImporterAddressCity">
  <xs:annotation>
    <xs:documentation>Importers City of physical address</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:maxLength value="35"/>
      <xs:minLength value="1"/>
    </xs:restriction>
  </xs:simpleType>
</xs:element>

<x:s:element name="ImporterAddressState">
  <xs:annotation>
    <xs:documentation>Importers State/Province of physical address</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:maxLength value="2"/>
    </xs:restriction>
  </xs:simpleType>
</xs:element>

<x:s:element name="ImporterAddressZipCode">
  <xs:annotation>
    <xs:documentation>Importers Zip Code of physical address</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:maxLength value="10"/>
    </xs:restriction>
  </xs:simpleType>
</xs:element>
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- **ImporterAddressCountry**
  - **documentation**: Importers Country of physical address. Use ISO Country Codes.
  - **annotation**
  - **simpleType**
    - **restriction base** = "xs:string"
      - **maxLength value** = "2"
      - **minLength value** = "1"

- **BondType**
  - **documentation**: Always use
  - **annotation**
  - **simpleType**
    - **restriction base** = "xs:string"
      - **maxLength value** = "1"
      - **minLength value** = "1"

- **BondActivityCode**
  - **documentation**: Valid Bond Activity

**Codes include:**

1 - Importer or Broker

1A - Drawback Payments Refunds

16 - Importer Security Filing (ISF)

- **CBPBondNumber**
  - **documentation**: Required when BondDesignationCode = V (void) or C (adjust bond amount). Do not submit for any other BondDesignationCode.

- **PortCode**
  - **documentation**: Entry Port
BrokerToSurety - Rev 13 (2)
<xs:restriction base="xs:string">
  <xs:maxLength value="4"/>
  <xs:minLength value="4"/>
</xs:restriction>
</xs:simpleType>
</xs:element>
<xs:element name="BondDesignationCode">
  <xs:annotation>
    <xs:documentation>A code representing the general purpose of the bond and the action to be taken. Valid codes are:
B = Add a new basic bond.
A = An additional bond; the bond that secures an AD/CVD, PGA or any other aspect not covered by the basic bond requirement. Applies to a Single Transaction Bond only.
V = Void the Single Transaction Bond on file.
C = Adjust the bond amount of the Single Transaction Bond on file.
U = Substitute for the bond presented at time of entry. Applies to a Single Transaction Bond only.
E = Supersede the bond presented at time of entry. Applies to a Single Transaction Bond only.
R = Bond Rider to take the following actions: US Virgin Islands.</xs:documentation>
</xs:annotation>
<xs:restriction base="xs:string">
  <xs:maxLength value="1"/>
  <xs:minLength value="1"/>
</xs:restriction>
</xs:simpleType>
</xs:element>
<xs:element name="TransactionIDType">
  <xs:annotation>
    <xs:documentation>ISF Reference Number</xs:documentation>
  </xs:annotation>
  <xs:restriction base="xs:string">
    <xs:maxLength value="1"/>
    <xs:minLength value="1"/>
  </xs:restriction>
</xs:simpleType>
</xs:element>
<xs:element name="TransactionID">
  <xs:annotation>
    <xs:documentation>Entry Number:</xs:documentation>
  </xs:annotation>
  <xs:restriction base="xs:string">
    <xs:maxLength value="40"/>
    <xs:minLength value="1"/>
  </xs:restriction>
</xs:simpleType>
</xs:element>
XXXNNNNNNNNNC,
ISF Transaction Number: Filed by a broker: FFFNNNNNNNNNNNN or Filed by an Carrier or Service Center: FFFNNNNNNNNNNNN = FFFF = Filer code / SCAC, NNNNNNNNNNN = Numeric sequence number</xs:annotation>
<xs:restriction base="xs:string">
  <xs:maxLength value="1"/>
  <xs:minLength value="1"/>
</xs:restriction>
</xs:simpleType>
Page 4
by CBP</xs:documentation>
<xs:element name="BondAmount">
  <xs:annotation>
    <xs:documentation>Bond Amount in whole US dollars. Must be greater than zero.</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:positiveInteger"/>
  </xs:simpleType>
</xs:element>
<xs:element name="STBBondProducerAccount" minOccurs="0">
  <xs:annotation>
    <xs:documentation>The Entry File's identification number assigned by the Surety company of the STB.</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:maxLength value="10"/>
    </xs:restriction>
  </xs:simpleType>
</xs:element>
<xs:element name="FirstSecondaryNotifyParty" minOccurs="0">
  <xs:annotation>
    <xs:documentation>A code representing the first secondary notify party authorized to receive the bond status notification. The format must be NNNNXXXXNN where NNNN is the Schedule D Code representing the CBP port of the second notify party; XXX is the Filer code; and NN is the office code, if used by that filer.</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:maxLength value="9"/>
    </xs:restriction>
  </xs:simpleType>
</xs:element>
<xs:element name="SecondSecondaryNotifyParty" minOccurs="0">
  <xs:annotation>
    <xs:documentation>A code representing the second secondary notify party authorized to receive the bond status notification. The format must be NNNNXXXXNN where NNNN is the Schedule D Code representing the CBP port of the second notify party; XXX is the Filer code; and NN is the office code, if used by that filer.</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:maxLength value="9"/>
    </xs:restriction>
  </xs:simpleType>
</xs:element>
BrokerToSurety - Rev 13 (2)

P:xs:complexType
    xs:element name="ThirdSecondaryNotifyParty"
    xs:annotation
        xs:documentation>A code representing the third secondary notify party authorized to receive the bond status notification.
        The format must be NNNNXXXNN where NNNN is the Schedule D Code representing the CBP port of the second notify party; XXX is the filer code; and NN is the office code, if used by that filer.
    xs:complexType
        xs:restriction base="xs:string"
            xs:length value="9"/
    </xs:restriction>
</xs:element>

P:xs:complexType
    xs:element name="FourthSecondaryNotifyParty"
    xs:annotation
        xs:documentation>A code representing the fourth secondary notify party authorized to receive the bond status notification.
        The format must be NNNNXXXNN where NNNN is the Schedule D Code representing the CBP port of the second notify party; XXX is the filer code; and NN is the office code, if used by that filer.
    xs:complexType
        xs:restriction base="xs:string"
            xs:length value="9"/
    </xs:restriction>
</xs:element>

P:xs:complexType
    xs:element name="ExceptionContactName"
    xs:annotation
        xs:documentation>The name of a contact at the broker/filers who can be contacted if there are issues/questions with processing the bond.
    xs:complexType
        xs:restriction base="xs:string"
            xs:length value="35"/
            xs: minLength value="1"/
    </xs:restriction>
</xs:element>

P:xs:complexType
    xs:element name="ExceptionContactEmail"
    xs:annotation
        xs:documentation>The Email address of a contact at the broker/filers who can be contacted if there are issues/questions with processing the bond.
    xs:complexType
        xs:restriction base="xs:string"
            xs:length value="100"/
            xs: minLength value="1"/
    </xs:restriction>
</xs:element>

P:xs:complexType
    xs:element name="ExceptionContactPhone"
    xs:annotation
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BrokerToSurety - Rev 13 (2)

<xs:documentation>The Phone Number of a contact at the broker/filer who can be contacted if there are issues/questions with processing the bond.</xs:documentation>
<xs:annotation>

</xs:simpleType>
<xs:restriction base="xs:string">
<xs:maxLength value="20"/>
<xs:minLength value="1"/>
</xs:restriction>
</xs:simpleType>
</xs:element>
<xs:element name="BrokerFilerReference" minOccurs="0">
<xs:annotation>
<xs:documentation>A reference number provided by the broker/filer that will be returned with the response messages.</xs:documentation>
</xs:annotation>
<xs:restriction base="xs:string">
<xs:maxLength value="25"/>
</xs:restriction>
</xs:simpleType>
</xs:element>
<xs:element name="EstimatedEnteredValue" type="xs:integer" minOccurs="0">
<xs:annotation>
<xs:documentation>Estimated Entered Value in whole US dollars. Must be greater than zero.</xs:documentation>
</xs:annotation>
<xs:element name="ISFDetail" type="ISFDetailT" minOccurs="0" maxOccurs="1"/>
</xs:complexType>
<xs:complexType name="EntryLineT">
<xs:sequence>
<xs:element name="LineNo" type="xs:positiveInteger"/>
<xs:element name="EntryLineDetail" type="EntryLineDetailT" minOccurs="1" maxOccurs="unbounded"/>
</xs:sequence>
</xs:complexType>
<xs:complexType name="EntryLineDetailT">
<xs:sequence>
<xs:element name="HTSNumber">
<xs:annotation>
<xs:documentation>Harmonized Tariff Schedule Number without format. A 6 digit number can be used for ISF, otherwise supply 8 or 10 digits based on what is being sent on the entry data.</xs:documentation>
</xs:annotation>
<xs:restriction base="xs:string">
<xs:maxLength value="10"/>
</xs:restriction>
</xs:simpleType>
</xs:element>
<xs:element name="CountryOfOrigin">
<xs:annotation>
</xs:annotation>
<xs:restriction base="xs:string">
</xs:simpleType>
</xs:element>
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<xs:maxLength value="2"/>
</xs:restriction>
</xs:simpleType>
</xs:element>
<xs:element name="TradeAgreementSpecialProgramClaimCode"
minOccurs="0">
<xs:annotation>
<xs:documentation>A code that specifies an
applicable trade agreement or applicable program that may reduce or eliminate duty
and/or MPF (per CBP ACE ABI CATAIR - Entry Summary
Create/Update)</xs:documentation>
</xs:annotation>
</xs:simpleType>
</xs:element>
<xs:element name="EstimatedEnteredValue" minOccurs="0">
<xs:annotation>
<xs:documentation>Estimated Entered Value
in whole US dollars for the line item. Must be greater than
zero.</xs:documentation>
</xs:annotation>
</xs:simpleType>
</xs:element>
</xs:restriction
base="xs:positiveInteger"/>
</xs:simpleType>
</xs:element>
<xs:element name="LineDutyAmount" type="xs:decimal"
minOccurs="0">
<xs:annotation>
<xs:documentation>Duty Amount for the
line. 2 decimal places.</xs:documentation>
</xs:annotation>
</xs:simpleType>
</xs:element>
<xs:element name="IRTaxAmount" type="xs:decimal"
minOccurs="0">
<xs:annotation>
<xs:documentation>IR Tax Amount for the
line. 2 decimal places.</xs:documentation>
</xs:annotation>
</xs:simpleType>
</xs:element>
<xs:element name="CommodityFeeAmount" type="xs:decimal"
minOccurs="0">
<xs:annotation>
<xs:documentation>Commodity Fee Amount for
the line. 2 decimal places. This includes fees like Cotton, Sugar, Potato and
Raspberry.</xs:documentation>
</xs:annotation>
</xs:simpleType>
</xs:element>
<xs:element name="OtherFeeAmount" type="xs:decimal"
minOccurs="0">
<xs:annotation>
<xs:documentation>Commodity Fee Amount for
the line. 2 decimal places. This includes fees like MPF and
HMF.</xs:documentation>
</xs:annotation>
</xs:simpleType>
</xs:element>
<xs:element name="EntryLinesADCVO" type="EntryLinesADCVDT"
minOccurs="0" maxOccurs="2"/>
</xs:element>
<xs:element name="EntryLinesPGA" type="EntryLinesPGAT"
minOccurs="0" maxOccurs="unbounded"/>

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```xml
<xs:element name="CaseNumber">
  <xs:annotation>
    <xs:documentation>AD or CVD Case Number.</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:maxLength value="10"/>
      <xs:minLength value="1"/>
    </xs:restriction>
  </xs:simpleType>
</xs:element>

<xs:element name="ADDutyAmount" minOccurs="0">
  <xs:annotation>
    <xs:documentation>AD Duty Amount for the line. 2 decimal places. Conditionally required when case is AD.</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:long"/>
  </xs:simpleType>
</xs:element>

<xs:element name="CVDutyAmount" minOccurs="0">
  <xs:annotation>
    <xs:documentation>CVD Duty Amount for the line. 2 decimal places. Conditionally required when case is CVD.</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:long"/>
  </xs:simpleType>
</xs:element>

<xs:element name="BondCashClaimCode">
  <xs:annotation>
    <xs:documentation>An indication as to whether the duty amount is to be collected as a cash deposit or considered as under a bond as prescribed by the case.
  </xs:documentation>
</xs:element>

B = The duty amount is to be covered under a surety bond.

C = The duty amount is to be collected as a cash deposit.

</xs:element>

<xs:element name="CaseDepositRate">
  <xs:annotation>
    <xs:documentation>Case Deposit Rate from the AD/CVD Case Information. 2 decimal places.</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:decimal"/>
  </xs:simpleType>
</xs:element>

<xs:element name="CaseRateTypeQualifier">
  <xs:annotation>
    <xs:documentation>
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```
BrokerToSurety - Rev 13 (2)

The type of rate prescribed by the case, which corresponds to the applicable deposit rate.

A = The case rate is an ad valorem rate.

S = The case rate is a specific rate.

Value of the article, to be used exclusively and in lieu of any other article value for the specific purpose of calculating any estimated antidumping or countervailing duty (when an ad valorem rate applies), reported in whole U.S. dollars.

Total number of primary units (which corresponds to the UOM Code prescribed by the case) to be used for calculating the estimated antidumping or countervailing duty (when a specific rate applies). Four decimal places.

Total bonded AD duty amount. Two decimal places. Conditionally required when case is AD.

Conditionally required when case is AD.

I hereby certify that I have not entered into any agreement or understanding for the payment or for the refunding to me, by the manufacturer, producer, seller, or exporter, of all or any part of the antidumping duties or countervailing duties assessed upon merchandise entered under this AD/CVD line of this entry summary. I further
BrokerToSurety - Rev 13 (2)

certify that U.S. Customs and Border Protection will be notified if there is any reimbursement of antidumping or countervailing duties by the manufacturer, producer, seller, or exporter to the importing company at any time in the future for this AD/CVD line.  

type

</xs:documentation>
</xs:restriction>
</xs:element>
<xs:element name="DeclarationIdentifier">
  <xs:annotation>
    <xs:documentation>Identifier of the blanket AD/CVD Non-Reimbursement Declaration that includes this Case Number.</xs:documentation>
  </xs:annotation>
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:maxLength value="10"/>
      <xs:minLength value="1"/>
    </xs:restriction>
  </xs:simpleType>
</xs:element>
</xs:complexType>
<xs:complexType name="ISFDetails">
  <xs:element name="EstimatedVesselDepartureDate">
    <xs:annotation>
      <xs:documentation/>
    </xs:annotation>
    <xs:simpleType>
      <xs:restriction base="xs:date"/>
    </xs:simpleType>
  </xs:element>
  <xs:element name="ActionReasonCode">
    <xs:annotation>
      <xs:documentation>CT = Complete Transaction
FR = Flexible Range
FT = Flexible Timing
FX = Flexible Range and Flexible Timing</xs:documentation>
    </xs:annotation>
    <xs:simpleType>
      <xs:restriction base="xs:string">
        <xs:maxLength value="1"/>
        <xs:minLength value="1"/>
      </xs:restriction>
    </xs:simpleType>
  </xs:element>
  <xs:element name="ActionCode">
    <xs:annotation>
      </xs:annotation>
    <xs:simpleType>
      <xs:restriction base="xs:string">
        <xs:maxLength value="1"/>
        <xs:minLength value="1"/>
      </xs:restriction>
    </xs:simpleType>
  </xs:element>
</xs:complexType>
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<xs:simpleType>
  <xs:sequence>
    <xs:element name="PGACode">
      <xs:annotation>
        <xs:documentation/>
      </xs:annotation>
      <xs:simpleType>
        <xs:restriction base="xs:string">
          <xs:minLength value="3"/>
          <xs:maxLength value="3"/>
        </xs:restriction>
      </xs:simpleType>
    </xs:element>
    <xs:element name="PGADisclaimerCode">
      <xs:annotation>
        <xs:documentation>Y or N. A code of Y indicates that there is no agency declaration requirement.</xs:documentation>
      </xs:annotation>
      <xs:simpleType>
        <xs:restriction base="xs:string"/>
      </xs:simpleType>
    </xs:element>
  </xs:sequence>
</xs:simpleType>
Appendix B: Surety to Broker Schema

The following pages reflect the xsd code for the transmission of bond data elements from a surety/surety agent to their customs broker clients ABI system.
SuretyToBroker - Rev 9 (2)

<?xml version="1.0" encoding="utf-8"?>
<!-- Authored by Celeste Catano (KEWILL) XML SCHEMA version 1.0 -->
<!-- Edited by John Greco (SHEA) XML SCHEMA version 1.1 -->
<!-- Edited by John Greco (SHEA) XML SCHEMA version 1.2 -->
<!-- Edited by Nathan Baylor (AVALON) XML SCHEMA version 1.4 -->
<!-- Edited by Nathan Baylor (AVALON) XML SCHEMA version 1.5 -->
<!-- Edited by Nathan Baylor (AVALON) XML SCHEMA version 1.8 -->
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
    elementFormDefault="qualified" attributeFormDefault="unqualified">
    <xs:element name="SuretyToBrokerMessage">
        <xs:complexType>
            <xs:element name="MessageLevelResult" type="MessageLevelResultT"/>
            <xs:element name="LineLevelResults" type="LineLevelResultT" minOccurs="0" maxOccurs="unbounded"/>
        </xs:sequence>
    </xs:complexType>
</xs:element>
</xs:complexType>
</xs:element>
</xs:complexType>
</xs:element>
</xs:complexType>
</xs:element>
<xs:element name="TransactionID">
    <xs:annotation>
        <xs:documentation>Unique Transaction ID based on the Transaction ID Type Code. Do not include spaces, hyphens, slashes or other special characters.
        Valid formats are:
        Entry Number: XXXNNNNNNN
        ISF Transaction Number: Filed by a broker: FFFFNNNNNNN or Filed by an Carrier or Service Center: FFFFFFFNNNNN where FFFF = Filer code / SCAC and NNNNNNNNNNN = Numeric sequence number</xs:documentation>
    </xs:annotation>
    <xs:simpleType>
        <xs:restriction base="xs:string">
            <xs:maxLength value="40"/>
            <xs:minLength value="1"/>
        </xs:restriction>
    </xs:simpleType>
</xs:element>
</xs:complexType>
</xs:element>
<xs:element name="BrokerReferenceNumber">
    <xs:annotation>
        <xs:documentation>A reference number provided by the broker/filer that will be returned with the response messages.</xs:documentation>
    </xs:annotation>
    <xs:simpleType>
        <xs:restriction base="xs:string">
            <xs:maxLength value="25"/>
        </xs:restriction>
    </xs:simpleType>
</xs:element>
</xs:complexType>
</xs:element>
</xs:schema>
SuretyToBroker - Rev 9 (2)

E = Data Errors - see attached errors

R = Data Accepted by Surety - Pending Review

X = Bond Rejected by CBP - see attached errors

error or response:

B01 = Surety Rejection - Data Correction Required

B02 = Data Accepted - Pending Underwriting Review

B03 = Data Accepted - Bond Data Sent to CBP

B04 = Review Complete - Bond Data Sent to CBP

B05 = Review Complete - Bond Not Authorized

B06 = Bond Accepted by CBP

B07 = CBP Rejection - Correction Required

by the broker. A code representing the general purpose of the bond and the action to be taken. Valid codes are:
SuretyToBroker - Rev 9 (2)

\( B = \) Add a new basic bond.

\( A = \) An additional bond; the bond that secures an AD/CVD, PGA or any other aspect not covered by the basic bond requirement. Applies to a Single Transaction Bond only.

\( V = \) Void the Single Transaction Bond on file.

\( C = \) Adjust the bond amount of the Single Transaction Bond on file.

\( U = \) Substitute for the bond presented at time of entry. Applies to a Single Transaction Bond only.

\( E = \) Supersede the bond presented at time of entry. Applies to a Single Transaction Bond only.

\( R = \) Bond Rider to take the following actions: (1) Add Reconciliation Flag, (2) US Virgin Islands.

\[ \text{Surety} \text{ code as sent on the entry/entry summary/ISF transactions.} \]

\[ \text{Bond Amount in whole US dollars. Must be greater than zero.} \]

\[ \text{This is an option field provided for the Surety/Surety Agent's internal use. A 9-character self-generated surety reference number that a surety may opt to submit to CBP and have returned, unmodified in the response from CBP.} \]
to the bond. </xs:documentation>
  </xs:annotation>
  </xs:simpleType>
  <xs:restriction base="xs:string">
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SuretyToBroker - Rev 9 (2)
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# Appendix C: Surety Agent and Surety Company Relationship Table

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<tr>
<th>Surety Agent</th>
<th>Surety Agent Filer Code</th>
<th>Surety Code &amp; Company</th>
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<tbody>
<tr>
<td>Avalon Risk Management</td>
<td>GN4</td>
<td>035 – Great American Alliance Insurance Co.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>054 – Southwest Marine and General Insurance Company</td>
</tr>
<tr>
<td>C.A. Shea &amp; Company Inc.</td>
<td>WY8</td>
<td>001 – Travelers Casualty and Surety Company</td>
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<tr>
<td></td>
<td></td>
<td>037 – American Casualty Co. of Reading, PA</td>
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<tr>
<td></td>
<td></td>
<td>046 – Berkley Regional Insurance Company</td>
</tr>
<tr>
<td></td>
<td></td>
<td>052 – Aspen American Insurance Company</td>
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<tr>
<td></td>
<td></td>
<td>069 – American Home Assurance Company</td>
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<tr>
<td></td>
<td></td>
<td>110 – Argonaut Insurance Company</td>
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<tr>
<td></td>
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<td>118 – Arch Insurance Company</td>
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<td></td>
<td>120 – Philadelphia Indemnity Insurance Co.</td>
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<tr>
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<td>128 – Atlantic Specialty Insurance Company</td>
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<td>156 – Platte River Insurance Company</td>
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<td>157 – Capitol Indemnity Corporation</td>
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<td>213 – Continental Casualty Company</td>
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<td>249 – Employers Insurance Company of Wausau</td>
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<td>269 – Federal Insurance Company</td>
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<td>281 – Fidelity &amp; Deposit Company of Maryland</td>
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<td>353 – Hanover Insurance Company</td>
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<td>367 – Hartford Ins Co. of the Midwest</td>
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<td>417 – Insurance Company of the State of Pennsylvania</td>
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<td>565 – National Union Fire Insurance Co. of Pittsburg, PA</td>
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<td>611 – Berkley Insurance Company</td>
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<td>621 – The Ohio Casualty Insurance Co</td>
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<td>653 – First Founders Assurance Company</td>
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<td>732 – RLI Insurance Company</td>
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<td>741 – Safeco Insurance Company of America</td>
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<td>841 – The Travelers Indemnity Co</td>
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<td>889 – Vigilant Insurance Company</td>
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<td>WZC</td>
<td>050 – Navigators Insurance Company</td>
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<td>440 – Endurance Reinsurance Corporation of America</td>
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<td>856 – Lexon Insurance Co.</td>
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<td>Roanoke Insurance Group Inc.</td>
<td>AXV</td>
<td>036 – American Alternative Insurance Corporation</td>
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<td>119 – Guarantee Company of North America</td>
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